

**REPORT OF THE SECOND QUARTER OF 2020  
NORTHERN MOUNTAIN URBAN PROGRAM**

*Hanoi, July 2020*

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## **I. OVERALL PROGRESS AND PROGRAM RESULT FRAMEWORK**

### **1.1 Overall implementation schedule of the Program**

#### **a) About Construction investment**

By the end of June 2020, the Program has implemented more than 66 months (accounting 91% of Program's implementation time), the program has achieved results: (i) the number of item completed are 83 items of a total 122<sup>1</sup> items (68%), in which: 6 items have been completed by June 2020, including: 3 items have been completed in the first Quarter of 2020 (Thai Nguyen 1 and Yen Bai 2) and 3 items have been completed in the second Quarter of 2020 (Yen Bai 3). The total number of remaining items is 39, in which: 29 items are ongoing (24%) and 10 items (8%) have not been implemented (no bidding plan).

#### **b) About result verification of the Program**

The State Audit has verified the results for 78 completed items of 2015 – 2018. The World Bank (WB) disbursed for DLI2 with the value of 45.93 million SDR (approximately 70.98 million USD) for 63 items that got the verification results of 2015, 2016, 2017 and 2018 (including items were re-verified).

The whole Program received disbursement of Performance Based Transfers for 2015, 2016, 2017 and 2018 is 92.95 million SDR, reaching about 57.45% of the loan value.

The State Audit has completed the financial audit in 2019 and is carrying out the audit for results verification for 2019.

#### **c) About financial situation of the Program**

##### ***- Budget allocation:***

Accumulative foreign fund allocation in the Medium-term public investment plan (MPI) 2016 - 2020 for the Program (excluding foreign fund allocated to the Ministry of Construction and State Audit) from 2015 to October 2019 (including re-lending) compared to the total fund allocated according to the Project Document is 2,786 / 4,847 billion VND (equivalent to 57%, average 11.4% / year).

After nearly 5 years of implementation, the Program has encountered many difficulties, the fund allocations did not match the actual need. In November 2019, the Ministry of Planning and Investment issued Decision No. 1746 / QD-BKHDT assigning the Medium-term public investment plan to the central budget capital in the period of 2016-2020 and in 2019, accordingly, 7 cities will be supplemented with VND 1,607,735 million IDA credit (equivalent to \$US 70.05 million). This additional amount basically meets the IDA capital needs of the Cities to implement the Program as intended plan. However, with the limited remaining time, it is difficult for some cities to complete the construction investment according to the initial investment portfolio.

***- About withdrawal and disbursement:*** Until now, the Ministry of Construction has done the withdrawal for the participating cities with 3.021 billion VND (equivalent to SDR 95 million), reached 91% of the total signed contracts value using ODA capital of

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<sup>1</sup> The total number of items in the whole Program increased from 121 to 122 due to Bac Kan has adjusted and changed 2 items of Na Na Duong - Na You and Na Na - Na Nang roads into 3 items at Decision No. 2030 / QD-UBND dated 25 / 10/2019 by Bac Kan Provincial People's Committee.

the cities (VND 3.326 billion), in which: i) the total amount of ODA fund has been disbursed to cities according to the results verified by the SAV is VND 2.669 billion (reaching 80% of the total signed contracts value); and ii) the total amount of advance as regulated in POM for cities is VND 352 billion (equivalent to 11% of the total value of signed contracts).

**d) Key findings in the current project implementation:**

- The implementation time: According to the Agreement, the Program's activities will be ended in December 2020. In November 2019, the cities were allocated an additional amount representing 40% of the MTIP funding and this basically met the need of ODA fund of the cities for implementing the project as plan. However, with the limited remaining time and the Covid-19 pandemic as well as the unusual weather in the Northern Mountains Region in the first months of 2020, it is difficult for some cities to complete the construction investment according to the original plan. In addition, many difficulties were during the Program implementation (land clearance, compensation, and resettlement), so it is necessary to extend the implementation time in order to ensure the targets and outputs of the Program. Presently, the participating cities are carrying out the procedures to propose the extension and using saved funds of the Program until December 2022.

- The advance of Program: In December 2019, the additional allocations made to the participating provinces/cities from the Medium-term public investment funds (MTIP) of 2016-2020, which basically met the IDA capital needs of the whole program. In 2020, after the results verification of 2019 have been completed in July 2020 by SAV, the participating cities will continue be disbursed and advanced. However, with the actual progress and current advance level regulated in Program Operation Manual (POM), the cities may not withdraw and use all the additional funding as planned in 2020. Therefore, the Ministry of Construction sent the document to the Ministries and localities for getting feedbacks regarding raising double the advance level in the current POM, this will facilitate fund to the cities in the final period of the implementation program, ensure to compliance with the advance of the Agreement. The Ministry of Construction is collecting the opinions of the participating cities to carry out the adjustment of POM.

- Exchange rate risk: During the Program implementation, due to devaluation of the SDR against the USD, as calculated the total loan capital of the Program (SDR 161,8 million) to present time (July 2020) is approximately US\$ 224,1 million (devaluation rate of the SDR against the US\$ from the beginning of Program so far is US\$ 25,9 million, equivalent to 10.4%).

- Saved fund of the Program: The total of saved fund in Component 1 of the Program is VND 576,97 billion (including: Cao Bang saved VND 104,95 billion, Dien Bien saved VND 95,78 billion, Thai Nguyen saved VND 239,98 billion, Tuyen Quang saved VND 44,74 billion, Yen Bai saved VND 91,52 billion). Besides, the unused fund is expected to US\$ 14,4 million in Component 2 of the Program, the contingencies is USD 2 million. The unused fund of Component 2 and contingencies (total US\$ 14,4 million) will be used for: i) add US\$ 0,5 million to auditing activity of the Program (in the case of an extension); and ii) compensate US\$ 13,9 million of the exchange rate risk for the fund in Component 1 allocated to cities (the loss caused by exchange rate risk between SDR and USD is currently 10.7%, the compensation rate from Component 2 is 6%). In order to take advantage IDA concessional loan, improve

the investment efficiency for the Program, the participating cities submitted the proposals of using the saved fund at the same time as applying for extension of the project implementation period.

## **1.2 Results of indicators in the Results Framework**

The results framework has 14 indicators, while most of the basic indicators satisfy the plan, there are currently some indicators that are difficult in the plan completion, including: i) New and upgraded roads completed; ii) Resettlement areas completed; iii) increase in annual own-sources revenue sources in Participating cities (DLI 3.2). The main reason is due to: i) some objective changes (slow economic growth, changes of tax policies in the local affecting results DLI 3.2); ii) encounters difficulties in calculating indicators because it is unclear how to formulate the target number in the results framework, leading to difficulties in calculating the implementation results to meet the required plan.

## **II. IMPLEMENTATION OF DISBURSEMENT INDICATORS (DLIs) OF THE PROGRAM**

### **2.1 Implementation of disbursement indicators of 7 cities**

#### ***2.1.1. Implementation of Disbursement Linked Indicator DLI 1.1***

The Enhanced Plan for 2020 has been approved and published on the website of each City and the website of the Urban Development Department before December 31, 2019 according to the regulation of the Program. Detailed information and links to access the enhanced plans of the City are in Annex 2.

#### ***2.1.2. Implementation of Disbursement Linked Indicator DLI 1.2***

According to the reports of the Project Management Units, there was no change / adjustment of personnel in the second quarter of 2020.

#### ***2.1.3. Implementation of Disbursement Linked Indicator DLI 1.2 in the 2<sup>nd</sup> Quarter of 2020***

By the end of the 2<sup>nd</sup> Quarter of 2020, the Program has started 112 items of the total 122 items of the whole Program and 83 items was completed, in which: 03 items completed in the 1<sup>st</sup> Quarter of 2020 were: Installation of underground cables in some main streets (Thai Nguyen), Luong Yen and Le Chan Roads (Yen Bai); 03 items completed in the 2<sup>nd</sup> Quarter of 2020 were: Tran Binh Trong road, Nguyen Khac Du road, main road B (Yen Bai).

The remaining items of the whole Program are 39, in which:

+ Ongoing: 29 items (Bac Kan 4, Cao Bang 1, Dien Bien Phu 6, Hoa Binh 2, Thai Nguyen 1, Tuyen Quang 1, and Yen Bai 14); *Detailed progress is in Annex 5.*

+ Not implemented: 10 items have not bidding plan yet: Bac Kan 3, Cao Bang 1, Tuyen Quang 6.

#### ***2.1.4. Implementation of Disbursement Linked Indicator DLI 3.1 – Asset management plan adopted and local urban infrastructure sub-projects in full service after completion***

The operation and maintenance of completed items are fully implemented by the cities, ensuring the requirements of the Program.

#### ***2.1.5. Implementation of Disbursement Linked Indicator DLI 3.2 – Increased annual own-source revenue in Participating cities***

This Indicator will be reported by the end of 2020.

## **2.2 Implementation of DLI of Ministry of Construction**

### **2.2.1 Coordination of Ministry of Construction**

- Urging cities to submit reports on project implementation and document regarding extension and using saved fund of the Program for collecting and reporting to the leaders of MOC;
- Giving comments on the proposal of Program implementation period extension of the cities: Cao Bang, Thai Nguyen and Yen Bai which submitted Ministry of Investment and Planning as required;
- Reviewing the Program Operation Manual (POM) and proposing the adjustments on advance of the Program;
- Implementing the advance withdrawal procedures for Bac Kan, Cao Bang, Yen Bai and SAV;
- Coordinating with the Internal Audit Consultant to complete the internal audit report of the Program for the last 6 months of 2019 and whole 2019;
- Issuing the coordination documents: i) document of MOC: No.1767/BXD-PTĐT dated April 15, 2020 to Ministries and Provincial People's Committees for adjusting and supplementing POM; No. 1918/BXD-PTĐT dated April 23, 2020 sent to the Ministry of Planning and Investment on extending the Northern Mountainous Urban Program (NMUP); No.1920/BXD-PTĐT dated April 23, 2020 sent to the Ministry of Planning and Investment on extending the implementation period and using saved funds of NMPU – Thai Nguyen city; No 2038/ BXD-PTĐT dated April 28, 2020 on extending the implementation period and using saved funds of NMPU – Cao Bang city; No. 2219/BXD-PTĐT dated May 11, 2020 sent to Ministry of Finance on transferring the foreign loan (IDA) in 2020 to the State Audit for NMPU implementation; and ii) the documents issued by Management Board of Urban Development Projects include: No 31/BQL-NMUP dated April 14, 2020 sent to WB for reviewing and giving comments on adjustments of POM; No. 36 / BQL-NMUP dated April 27, 2020 sent to PMUs for updating the Program Management Information System (MIS); No. 40/ BQL-NMUP on the change of key person of NMUP Management Units- Bac Kan city; No. 41 dated May 8, 2020 on the survey the items verified results in 2020 of SAV; No. 43/BQL-NMUP dated May 11, 2020 on reporting the items repair according to the results verification report of 2018 by SAV;
- Coordinating with World Bank to carry out the Virtual Implementation Support Mission from May 26 to June 4, 2020 and held Mission Wrap-up meeting at the office of MOC on June 11, 2020 with representatives from central ministries, participating provinces and cities;
- Collecting the proposals of the Provinces/Cities and submitted the document to WB for implementation period extension and saving funds using of the Program;
- Organizing meeting with 7 participating cities on the extension and using saving funds of the Program at MOC (June 16, 2020) and attended the meeting at WB office ( June 23, 2020);
- Coordinating with Inspectorate of the Ministry of Construction monitoring and instructing the participating cities of PAP1;
- Coordinating with the SAV to carry out the financial audit in 2019;
- Coordinating with the SAV to implement the survey of items verified results of 2020 by SAV in some participating cities from May 11-21, 2020.

## 2.2.2 Implementation of DLI of Ministry of Construction

a. Implementation of DLI 4.1 - Implementation Strategy for National Urban Development Program adopted with annual milestones.

Ministry of Construction has adopted the report “National Urban Development Program national rollout strategy with selection of phase 2 cities” and submitted to the World Bank at Document No. 3025/BXD-PTĐT on December 27<sup>th</sup>, 2019.

b. Implementation of DLI 4.2 - Professionally-staffed unit in place in MOC, preparation of Annual Capacity Development Plans, and capacity building support provided to cities in accordance with such plans

### *Professionally-staffed unit in place in MOC*

There are changes in personnel for two positions of Urban Development Project Management Board - the Ministry of Construction: Bidding and Technical Engineer. The positions of staffs are arranged as required by POM.

### *Annual Capacity of the Program implemented by MOC*

Coordinating with Academy of Managers for Construction & Cities (AMC) in fund registration for technical capacity development tasks of the Program.

c. Implementation of DLI 4.3 – Completed Program Report

Program Report of 2019 has been completed and sent to the SAV and the WB on February 27<sup>th</sup> 2020 as required by the Program.

## III. COMPLIANCE WITH PAP

### 3.1. PAP 1 – Feedback and complaint database

All 7 cities have been equipped with tools (computers, scans ...) to perform the operation of the storage software (software and monitoring books) on the issues under the projects of the citizen.

Proposals and complaints at 7 cities have been recorded and handled in accordance with the process and reported to related parties under the regulations of the Program Implementation Manual (POM). *Details of PAP 1 implementation situation are in the following table:*

**Table 1 - Situation of complaints and feedbacks**

Cities	Accumulated total cases		Classification of cases	Implementation	
	Total	Arising 2020		Number of resolved cases	Number of unresolved cases
<b>Bac Kan</b>	59	4	All about compensation, site clearance	59	0
<b>Cao Bang</b>	47	0	All about compensation, site clearance	47	0
<b>Dien Bien Phu</b>	128	24	All about compensation, site clearance	121	7
<b>Hoa Binh</b>	64	2	All about compensation, site clearance	64	0
<b>Thai Nguyen</b>	20	0	All about compensation, site clearance	20	0
<b>Tuyen Quang</b>	14	1	All about compensation, site clearance	14	0
<b>Yen Bai</b>	15	2	All about compensation, site clearance	14	1
Total	<b>347</b>	<b>33</b>		<b>339</b>	<b>8</b>

08 cases are under consideration, specifically as follows:

- **Đien Bien Phu:** 7 complaints
  - Item of Him Lam Resettlement area: households asked the City People's Committee to consider recovering compensation as residential land;
  - Item of road from bridge A1 to bridge C4: 06 complaints, in which:
    - + One complaint for consideration of adjustment of land acquisition from residential land to agricultural land (holding residential land);
    - + One complaint for consideration of compensation level of 7m<sup>2</sup> of land from location 2 to location 1;
    - + One complaint for consideration to allocate one plot of resettlement land because many generations in one household, the remaining area after land acquisition is garden land;
    - + One complaint for resettlement of households (Mr/Mrs: Lo Ngoc Van, Hoang Thi Bien, TruongTien Truong, Le Dinh Chin, Lanh Thi Cuc, Truong Doan Lap, group 3, ward Nam Thanh);
    - + One complaint for requesting the City People's Committee to allocate residential land without collecting land use fee;
    - + One complaint for requesting the City People's Committee to consider, supplement and support for the family due to lacks of declaration of the relatives's graves.
- **Yen Bai (one complaint):**
  - Item of Hoang Van Thu road: the complaint from Mr. Vo Van Nhan about compensation of land clearance.

### 3.2. PAP 2 – Competitive Bidding

In the 2<sup>nd</sup> Quarter of 2020, 1/7 cities organized the bidding activities (Tuyen Quang), 2/7 cities (Đien Bien Phu and Haa Binh, Bac Kan, Cao Bang, Thai Nguyen and Yen Bai) have not any bidding activities. Further in the Appendix 3.

Table 2. Estimation of remaining packages number of Construction and Design Consultant/ Construction Supervision of the Program

*Unit: VNDmln*

City	Construction		Supervision Consultancy	
	Estimate value	Quantity	Estimate value	Quantity
Bac Kan	3	115,395	1	1,908
Cao Bang	-	-	-	-
Đien Bien Phu	0	-	0	-
Hoa Binh	-	-	-	-
Thai Nguyen	0	0	0	
Tuyen Quang	5	245,334	1	5,028
Yen Bai	-	-	-	-
<b>Total</b>	<b>8</b>	<b>360,729</b>	<b>2</b>	<b>6,936</b>
<b>Exchange to million USD</b>		<b>15.52</b>		<b>0.30</b>

Source: Summary based on the 2<sup>nd</sup> Quarter Report of 2020 of PAP 2 of the cities.  
 (Accounting rate in July 2020 of MOF: 1 USD = 23.237 VND)  
 (Updating 5 cities Bac Kan, Cao Bang, Thai Nguyen, Tuyen Quang, Yen Bai)



### **3.3. PAP 3 – Internal Audit**

The Urban Development Project Management Board has mobilized the Consortium Consultant of Vietnam Mazars Co., Ltd and VNASC Auditing and Consultancy Co., Ltd to develop the Internal Audit for the Program. The Internal Audit Report for the Program of the last 6 months of 2019 and 2019 has sent to the World Bank according the the regulation of the Program.

On June 30, 2020, Urban Development Project Management Board sent World Bank a letter to consider extending the period of the final internal audit from 6 months to 12 months in order to ensure the effect of internal audit. It is being waiting for feedback from WB to develop the next steps.

### **3.4. PAP 4 – Valuation of Land and Assets**

The implementation of compensation and site clearance in cities basically complies with the current provisions of Vietnamese law, the provisions of the Project Implementation Manual (POM) and in accordance with WB's safety policies.

There are 35 items that land clearance must be completed in 2020, by the end of Quarter II/2020, the land acquisition and compensation have been completed for only 3 items.

Currently, on the overall progress: Cao Bang has the progress of compensation and land clearance meeting the progress of the project; 2 cities of Thai Nguyen, Hoa Binh lack counterpart fund to pay for compensation and land clearance; 4 cities of Bac Kan, Dien Bien Phu, Tuyen Quang and Yen Bai have slow compensation and land clearance due to legal issues related to land use and are likely to be prolonged and difficult to resolve. The details of the situation of compensation, land clearance for specific cities are as follows:

- Bac Kan: According to enhancement plan, 7 items must be completed in the land acquisition and compensation for period 2019-2020. In which, two items are delivered from 2019: the compensation is being paid for item of Resettlement area, however, there is still a dispute of land use right of some households; item of Nam Cat Bridge construction, the construction ground was handed over and the contractor is currently covering land. The items registered to complete the land clearance in 2020: 2/3 of them are Building Tay Minh Khai Street and Upgrading the road from Group 11B of Duc Xuan Ward to Group 11 of Phung Chi Kien Ward have completed payment of over 90%, 3 new items have not implemented the counting and statistics of compensation and land clearance

- Cao Bang: According to enhancement plan, one item must be completed in the land acquisition and compensation for period 2019-2020 is Bang River Embankment, which has paid compensation to households in Tan Giang ward.

- Dien Bien Phu: According to enhancement plan, 6 items must be completed in the land clearance in 2020, of which: 2/6 items have been completed, 4/6 other items are being carried out: items that have complex issues are Him Lam Resettlement Area (due to difference of compensation between 2 adjacent projects), Ho Dieu Hoa item behind the Provincial General Hospital (due to households being compensated by another project which has not been allocated fund to pay for land clearance, the document is being completed for enforcement), item of Road from Bridge A1 to Bridge C4 (number of affected households is high, there are 40 households are overlapped by 2 other projects).

- Thai Nguyen: According to the enhancement plan, one item must be completed in the land clearance in 2020 is Upgrading of Viet Bac Road Phase 2, the City is currently lacking fund to pay due to the increase in unit price and land price of compensation for land clearance compared to the time of approval, so compensation cost for site clearance affects the project implementation progress must be adjusted. It is expected to be completed in 2020.

- Hoa Binh: According to the enhancement plan, one item which is clearing site is Upgrading and improving Hoa Binh road (the compensation plan for land clearance is being submitted for two households).

- Tuyen Quang: According to the enhancement plan, 6 items must be completed in the land clearance, of which: 2 items were delivered from 2019, they are: Renovate Roads of Group 16 and 17 to Chu Van An (one household has not received yet due to the claim for compensation of residential land in the land use right certificate, pending the conclusion of the Department of Natural Resources and Environment) and the item of Renovating and upgrading Trung Viet reservoir (Adjustment without land acquisition is implemented for 2 households); the item of Renovating and upgrading Binh Thuan Road (route 37), group 18 of Hung Thanh ward, through Ngoc Kim resettlement area, is completing the dossier to approve the compensation plan; the 3 remaining items must completed the site clearance in 2020 to be at the stage of completing measurement and are conducting tally to speed up to complete the land clearance.

- Yen Bai: According to the enhancement plan, 13 items must be completed in 2020, in which 11 items have been completed, 2 items are on going. The item of Birdge in Yen Thinh, 5 households are being currently submitted for evaluation, this is expected to be completed in July 2020; the item of Dien Bien road – Yen Ninh road, the plan has not been yet approved for 3 households because of lacking the relevant documents of 2 households and the land price of one household that must be checked again specifically according to the land plot adjusted in planning map.

Summary of land clearance and compensation in 2020 is presented in Table 3 below:

**Table 3: PAP 4 implemtation status in 2020**

No	City	Land Acquisition and Compensation (Action PAP 4)					Total compensation value paid in 2020 (billion VND)
		Items to complete land acquisition and compensation in 2020				Unimplemented	
		Total	Completed	Uncompleted	Unimplemented		
1	Bac Kan	7	0	5	0	-	
2	Cao Bang	1	1	0	0	0	
3	Dien Bien Phu	6	2	4	0	-	
4	Hoa Binh	1	0	1	0	-	
5	Thai Nguyen	1	0	1	0	82,966	
6	Tuyen Quang	5	0	5	0	-	
7	Yen Bai	13	11	2	0	-	
<b>Total</b>		<b>35</b>	<b>14</b>	<b>19</b>	<b>0</b>	<b>-</b>	

### 3.1. PAP 5 – Community Consultation

All 7 cities have fully complied with the Community Consultant (PAP 5) prescribed in Project Operational Manual of the Program. Community Consultation Plans were prepared and approved for all items of the Program.

Minutes of Consultation (for group consultant) and consultation sheets (for each household consultation) were available at all times of Consultation.

All cities participating in the Program have established a Community Monitoring Board that includes members who benefit from the Program. In fact, in the beginning of 2020, the implementation of community consultations was suspended due to community isolation and anti-crowding to limit the the Covid-19 pandemic. Summary of consultation results is shown in Table 4.

**Table 4: Summary of Consultation**

No	City	Number of items to be consulted in 2020		Times of consultation done in each phase of 2020		
		Number transitional items	Number of items to start in 2020	Preparation Stage	Implementing Stage	Completion Stage
1	Bac Kan	2	0	0	0	0
2	Cao Bang	1	0	0	0	0
3	Dien Bien Phu	0	0	0	0	0
4	Hoa Binh	0	0	0	0	0
5	Thai Nguyen	1	0	1	1	0
6	Tuyen Quang	0	0	5	5	0
7	Yen Bai	0	0	0	0	0
	<b>Total</b>	<b>4</b>	<b>0</b>	<b>6</b>	<b>6</b>	<b>0</b>

## IV. FINANCIAL MANAGEMENT

### 4.1. Actual receiving and Disbursement

Table 5. Value of receiving and disbursement 2020

*Unit: Mil VND*

No	City	Capital received in 2020			Cumulated Value as of 2nd Quarter 2020		
		ODA	Counterpart fund	Total	ODA	Counterpart fund	Total
1	Bac Kan	123,817	45,000	168,817	331,703	159,686	491,389
2	Cao Bang	96,774	18,437	115,211	451,899	76,124	528,023
3	Dien Bien Phu	0	13,665	13,665	378,243	61,433	439,676
4	Hoa Binh	0	9,000	9,000	308,403	28,479	336,882
5	Thai Nguyen	200,000	13,000	213,000	859,755	182,222	1,041,977
6	Tuyen Quang	27,672	30,000	57,672	317,775	67,926	385,701
7	Yen Bai		7,000	7,000	375,400	73,010	448,410
8	MOC				36,401	17,793	54,194
	<b>Total</b>	<b>448,263</b>	<b>136,102</b>	<b>584,365</b>	<b>3,059,579</b>	<b>666,673</b>	<b>3,726,252</b>
	<i>Conversion: Mil USD</i>	<i>19.29</i>	<i>5.86</i>	<i>25.15</i>	<i>131.67</i>	<i>28.69</i>	<i>160.36</i>

Table 6. Disbursement Value

*Unit: Mil VND*

No	City	Disbursement Value in 2020			Accumulated disbursements from the beginning of the Program		
		ODA	Counterpart fund	Total	ODA	Counterpart fund	Total
<b>1</b>	<b>Bac Kan</b>	-	25,814	25,814	205,432	140,500	345,932
<b>2</b>	<b>Cao Bang</b>	49,671	17,312	66,983	386,684	68,998	455,682
<b>3</b>	<b>Dien Bien Phu</b>			-	375,924	48,162	424,086
<b>4</b>	<b>Hoa Binh</b>	-	1,526	1,526	306,861	17,888	324,749
<b>5</b>	<b>Thai Nguyen</b>	176,888	-	176,888	836,643	182,222	1,018,865
<b>6</b>	<b>Tuyen Quang</b>	27,362	2,285	29,647	317,465	2,285	319,750
<b>7</b>	<b>Yen Bai</b>	65,696	12,676	78,372	367,447	69,096	436,543
<b>8</b>	<b>MOC</b>	2,000	682	2,682	26,788	17,110	43,898
	<b>Total</b>	<b>321,617</b>	<b>60,295</b>	<b>381,912</b>	<b>2,823,244</b>	<b>546,261</b>	<b>3,369,505</b>
	<i>Conversion: Mil USD</i>	<b>13.84</b>	<b>2.59</b>	<b>16.44</b>	<b>121.50</b>	<b>23.51</b>	<b>145.01</b>

(Conversion: 1 USD = 23.237 VND according to exchange rate issue by MOF in 7/2020)

## 4.2. Medium Term Capital Plan period 2016-2020 (adjusted in 11/2019) and 2020 Fund Allocation Plan

**Table 7. Medium term Capital Plan period 2016-2020 and 2020 Fund Allocation Plan**

*Unit: Mil VND*

City	Medium term fund for 2016-2020 (including addition allocation value in Decision 1746/QĐ-BKHDT dated 07/11/2019 and Decision 303/QĐ-TTg dated 31/3/2019 (for ODA) and Decision 572/QĐ-TTg dated 20/4/2017 (Counterpart fund) (including central allocation and onlending budgets)			Medium term fund allocated for period 2016-2019			Remaining medium term fund remained before allocation of 2020			Demand according to Enhancement Plan 2020			Fund allocation plan of 2020 (According to the localities)			Remaining Medium term fund		
	(1)			(2)			(3)=(1)-(2)			(4)			(5)			(6)=(3)-(5)		
	IDA	Counterpart fund	Total	IDA	Counterpart fund	Total	IDA	Counterpart fund	Total	IDA	Counterpart fund	Total	IDA	Counterpart fund	Total	IDA	Counterpart fund	Total
<b>Bắc Kạn</b>	491,861	140,230	632,091	220,899	55,987	205,348	270,962	84,243	347,973	216,695	144,608	361,303	259,976	30,000	40,760	10,986	54,243	307,213
<b>Cao Bằng</b>	567,196	68,428	635,624	403,456	24,251	303,239	163,740	44,177	236,477	117,273	12,192	129,465	125,459	25,287	58,132	38,281	18,890	178,345
<b>Điện Biên Phủ</b>	539,786	63,737	603,523	378,243	33,138	403,282	161,543	30,599	160,241	141,400	39,817	181,217	83,794	12,500	55,211	77,749	18,099	105,030
<b>Hòa Bình</b>	630,966	22,113	653,079	286,003	50,031	229,475	344,963	(27,918)	353,395	312,324	28,400	340,724	177,778	1,879	114,578	167,185	(29,797)	238,817
<b>Thái Nguyên</b>	1,209,238	110,000	1,319,238	659,756	51,537	524,034	549,482	58,463	626,980	542,520	101,991	644,511	554,470 <sup>2</sup>	8,693	76,425	-4,988	49,770	550,555
<b>Tuyên Quang</b>	672,097	7,411	679,508	325,685	17,672	286,385	346,412	(10,261)	343,398	236,786	106,507	343,293	359,543 <sup>3</sup>	21,159	46,894	-13,131	(31,420)	296,504
<b>Yên Bái</b>	614,461	91,790	706,251	342,400	28,673	198,149	272,061	63,117	436,622	181,632	33,826	215,458	138,468	20,000	135,729	133,593	43,117	300,893
<b>Total</b>	<b>4,725,605</b>	<b>503,708</b>	<b>5,229,314</b>	<b>2,616,442</b>	<b>261,289</b>	<b>2,149,912</b>	<b>2,109,163</b>	<b>242,419</b>	<b>2,505,085</b>	<b>1,748,630</b>	<b>467,341</b>	<b>2,215,971</b>	<b>1,699,488</b>	<b>119,518</b>	<b>527,729</b>	<b>409,675</b>	<b>122,901</b>	<b>1,977,356</b>

<sup>2</sup> The figures of allocated fund in 2020 of Thai Nguyen province in the table include: i) The additionally allocated capital in 2019 is 297 billion VND (285 billion VND of the central budget and 12 billion of on-lending); and ii) the allocated capital in 2020 is VND 257.47 billion (VND 231,723 billion allocated by the Central Government and VND 25,747 billion re-lent)

<sup>3</sup> The data of allocated fund in 2020 by Tuyen Quang is a provisional number based on the reported data of the province

## V. IMPLEMENTATION PLAN FOR THE 3RD QUARTER 2020

No	Action	Responsibility
1.	Accelerate the procedures to propose the extension of time for implementation and use of budget savings of Program as the agreed plan	All parties
2.	Accelerate land acquisition and compensation and construction of items registered to be completed in EP 2020	7 cities
3.	Accelerate the preparation/approval of Procurement Plan and organize bidding packages	Bac Kan, Cao Bang, Tuyen Quang
4.	Organize Community Consultation (Action PAP 5) as required	7 cities
5.	Implement recommendations of the SAV and Internal Audit	Participating units
6.	AMC implement 2020 capacity building plan	AMC
7.	Agree on method of calculating some indicators under Result Framework	MOC, WB, 7 cities
8.	Carry out the procedures on the 2019 achievement of results after SAV finish the the Program Results Verification Report	

# THE ANNEXES

## ANNEX 1. RESULTS FRAMEWORK

No	Indicator	Core	DLI	Unit of measure	baseline		2015	2016	2017	2018	2019	2020	Frequency	Method	Responsibility for Data Collection
<b>Program Development Objective: To strengthen the capacity of participating Northern Mountains cities to plan, implement and sustain urban infrastructure</b>															
<b>Indicators at PDO level</b>															
1	Enhanced Annual City Plans approved and disclosed to the public	<input type="checkbox"/>	<input checked="" type="checkbox"/>	# of Participating Cities	-	Plan	7	7	7	7	7	7	Annual	MIS & Verification Audit	MOC/SAV
						Actual	7	7	7	7	7	-			
2	Asset management plan adopted and local urban infrastructure sub-projects in full service after completion	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Yes/No	N/A	Plan	Yes	Yes	Yes	Yes	Yes	Yes	Annual after 2017	Verification Audit	SAV
						Actual	Yes	Yes	Yes	Yes	Yes				
3	Implementation Strategy for National Urban Development Program adopted with annual milestones	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Yes/No	N/A		Policy Note	Implementation framework	Expenditure framework	Management Capacity	NUDP national rollout strategy with selection of phase 2 cities;	NUDP implementation in phase 2 cities commenced	Annual, as specified	Competent Authority	MOC
4	Total number of people benefiting from Program (disaggregated by gender)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	#	0	Plan	0	23,820	141,700	178,000	240,700	759,300	Annual	MOC	CPC
						Actual	23,194	66,701	156,790	520,983	534,706				
						Male	11,044	31,594	77,425	256,403	264,276				
						Female	12,150	35,107	79,365	264,580	270,430				
<b>Intermediate Results Indicators</b>															
<b>Result 1: Institutional Strengthening At City Level</b>															

5	Professionally-staffed management units in place within each Participating City People's Committee	<input type="checkbox"/>	<input checked="" type="checkbox"/>	# of Participating Cities	-	Plan	7	7	7	7	7	7	Annual	MIS & Verification Audit	MOC/SAV
							Actual	7	7	7	7	7			
<b>Result 2: Infrastructure Delivered</b>															
6	People benefiting from city primary/secondary infrastructure services	<input checked="" type="checkbox"/>	<input type="checkbox"/>	#	0	Plan	0	813.37	80,000	104,400	151,300	651,500	Annual	MOC	CPC
						Actual	7,897	46,895	78,178	82,825	425,466				
						Male	4,066	22,433	39,019	41,111	223,348				
						Female	3,831	24,462	39,159	41,714	228,118				
7	People benefiting from improved tertiary/ social infrastructure services (disaggregated by gender)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	#	0	Plan	0	10.45		73.6	89.4	107.800	Annual	MOC	CPC
						Actual	15,297	19,806	78,612	458,158	83,240				
						Male	6,978	9,161	38,406	225,292	40,298				
						Female	8,319	10,645	40,206	232,866	42,312				
8	Ethnic Minority beneficiaries (disaggregated by gender)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	%	0	Plan	0	32%	25%	23%	21%	14%	Annual	MOC	CPC
						Actual	37%	62%	47%	12%	16%				
						Male	34%	59%	44%	12%	21%				
						Female	40%	64%	49%	13%	10%				
						Total	1.5	37.3	42.5	72.7	98.9				
						Female	0	813.37	80,000	104,400	151,300				
9	New and upgraded roads completed	<input type="checkbox"/>	<input type="checkbox"/>	km	0	Plan	7,897	46,895	78,178	82,825	425,466	101.9	Annual	Enhanced Annual City Plan	MOC/CPC
						Actual	4,066	22,433	39,019	41,111	223,348				
10	Resettlement areas completed	<input type="checkbox"/>	<input type="checkbox"/>	ha	0	Plan	32	13	141	10	8	32	Annual	Enhanced Annual City Plan	MOC/CPC
						Actual	30	11	138	5	55				



11	LIAs upgraded	<input type="checkbox"/>	<input type="checkbox"/>	ha	0	Plan	23	53	116	118	23	0	Annual	Enhanced Annual City Plan	MOC/CPC
						Actual	21	50	110	388	23.9				
<b>Result 4: National Policy, Support and Oversight</b>															
12	Increase in annual own-sources revenue by at least 12% over previous year	<input type="checkbox"/>	<input checked="" type="checkbox"/>	# of Participating Cities		Plan	7	7	7	7	7	7	Annual	Financial statement/verificatipn report	MOC/SAV
						Actual	6	2	1	3	2	-			
13	Capacity support to cities provided in accordance with Annual Capacity Development Plan	<input type="checkbox"/>	<input checked="" type="checkbox"/>	%	-	Plan	100	100	100	100	100	100	Annual	MIS & Verification Audit	MOC/SAV
						Actual	100	100	100	100	100				
14	Completed Program Report	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Yes/No		Plan	Yes	Yes	Yes	Yes	Yes	Yes	Annual	verificatipn report	SAV
						Actual	Yes	Yes	Yes	Yes	Yes				

## ANNEX 2. ENHANCEMENT PLAN OF THE CITIES IN 2020

No	City	Approval Decision	Website
<b>I</b>	<b>Enhancement Plan in 2020</b>		
1	Bac Kan	Decision No. 2768/ QD-UBND dated December 20, 2019 of Bac Kan City People's Committee on assigning targets of socio-economic development plan in 2020	<a href="https://tpbackan.backan.gov.vn/Pages/quy-hoach-ke-hoach-335/ke-hoach-phat-trien-kt-xh-337/qd-so-2768-qd-ubnd-ve-viec-giao-chi-ti-98e697b952a6fdc6.aspx">https://tpbackan.backan.gov.vn/Pages/quy-hoach-ke-hoach-335/ke-hoach-phat-trien-kt-xh-337/qd-so-2768-qd-ubnd-ve-viec-giao-chi-ti-98e697b952a6fdc6.aspx</a>
2	Cao Bang	Decision No. 4997/ QD-UBND dated December 27, 2019 on approving the Enhanced Plan in 2020 of RBNUDPNM-Cao Bang city	<a href="http://ubndtp.caobang.gov.vn/tpcaobang/1297/31378/81841/653058/Quy-hoach-Nganh---Linh-vuc/Phe-duyet-ke-hoach-nang-cao-nam-2020-du-an--Chuong-trinh-do-thi-mien-nui-phia-Bac---Thanh-pho-Cao-Bang-.aspx">http://ubndtp.caobang.gov.vn/tpcaobang/1297/31378/81841/653058/Quy-hoach-Nganh---Linh-vuc/Phe-duyet-ke-hoach-nang-cao-nam-2020-du-an--Chuong-trinh-do-thi-mien-nui-phia-Bac---Thanh-pho-Cao-Bang-.aspx</a>
3	Dien Bien Phu	Decision No. 2069/ QD-UBND dated December 30, 2019 on approving the Enhanced Plan in 2020 of RBNUDPNM-Dien Bien Phu city	<a href="http://tpdienbienphu.dienbien.gov.vn/vi/noidunghopubnd/Do-thi-mien-nui/Do-thi-mien-nui-cac-tinh-phia-Bac-Thanh-pho-Dien-Bien-Phu-qua-cac-nam-1/">http://tpdienbienphu.dienbien.gov.vn/vi/noidunghopubnd/Do-thi-mien-nui/Do-thi-mien-nui-cac-tinh-phia-Bac-Thanh-pho-Dien-Bien-Phu-qua-cac-nam-1/</a>
4	Hoa Binh	Decision No. 3786/ QD-UBND dated December 30, 2019 on approving the Enhanced Plan in 2020 of RBNUDPNM-Hoa Binh city	<a href="http://ubndtp.hoabinh.gov.vn/kinh-te-do-thi/2207-k-ho-ch-nang-cao-nam-2020-c-a-d-an-chuong-trinh-do-th-mi-n-nui-phia-b-c-thanh-ph-hoa-binh">http://ubndtp.hoabinh.gov.vn/kinh-te-do-thi/2207-k-ho-ch-nang-cao-nam-2020-c-a-d-an-chuong-trinh-do-th-mi-n-nui-phia-b-c-thanh-ph-hoa-binh</a>
5	Thai Nguyen	Decision No. 12222/ QD-UBND dated December 31, 2019 on approving the Enhanced Plan in 2020 of RBNUDPNM-Thai Nguyen city	<a href="http://thainguyencity.gov.vn/home/news/?22773/Quy-dinh-phe-duyet-ke-hoach-tang-cuong-nam-2020-du-an-">http://thainguyencity.gov.vn/home/news/?22773/Quy-dinh-phe-duyet-ke-hoach-tang-cuong-nam-2020-du-an-</a>
6	Tuyen Quang	Decision No. 530/ QD-UBND dated December 30, 2019 on approving the Enhanced Plan in 2020 of RBNUDPNM-Tuyen Quang city	<a href="http://www.thanhphotuyenquang.gov.vn/">http://www.thanhphotuyenquang.gov.vn/</a>
7	Yen Bai	Decision No. 4759/ QD-UBND dated December 30, 2019 on approving the Enhanced Investment Plan for 2020 of RBNUDPNM-Yen Bai city	<a href="http://thanhphoyenbai.yenbai.gov.vn/Cms_Data/Contents/tpyb-vi/Folders/ktxh/~contents/S3TJXWUXDJRQ5XTZ/4759QDUBND.pdf">http://thanhphoyenbai.yenbai.gov.vn/Cms_Data/Contents/tpyb-vi/Folders/ktxh/~contents/S3TJXWUXDJRQ5XTZ/4759QDUBND.pdf</a> <a href="http://thanhphoyenbai.yenbai.gov.vn/Cms_Data/Contents/tpyb-vi/Folders/ktxh/~contents/TE725MSMR38YUG45/4269QDUBND.pdf">http://thanhphoyenbai.yenbai.gov.vn/Cms_Data/Contents/tpyb-vi/Folders/ktxh/~contents/TE725MSMR38YUG45/4269QDUBND.pdf</a>
8	Website of Urban Development Agency		<a href="http://www.phattriendothi.vn/News/Item/20646/18/vi-VN/ke-hoach-nang-cao-nam-2020-chuong-trinh-do-thi-mien-nui-phia-bac-vay-von-ngan-hang-the-gioi-wb.aspx">http://www.phattriendothi.vn/News/Item/20646/18/vi-VN/ke-hoach-nang-cao-nam-2020-chuong-trinh-do-thi-mien-nui-phia-bac-vay-von-ngan-hang-the-gioi-wb.aspx</a>

### ANNEX 3. THE IMPLEMENTATION PAP 2

- A. PAP 1: The cities participating must enhance transparency by maintaining databases on (a) the complaint relating to public consultation/ **fraud corruption/ accountability for tendering and contract management** and implementation of the Program and documents for answering/ handling those complaints; (b) reflection of the beneficiary to the implementing agencies and the answer of those reflections. This information must be synthesized by MOC in national level and regular reports to the Bank.

#### Data on complaints related to Fraud and Corruption

No	Description	2015	2016	2017	2018	2019	2020	2021
1	<b>Number of Complaints received and stored in the database</b>							
	Bac Kan	0	0	0	0	18	4	
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	0	0			
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	0	0	0	0	0		
2	<b>Number of Complaints were answered / or processed</b>							
	Bac Kan	0	0	0	0	18	4	
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	0	0			
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	0	0	0	0	0	0	

#### Data on complaints related to Procurement, Financial Management and Contract Management

No	Description	2015	2016	2017	2018	2019	2020	2021
1	<b>Number of complaints received and stored in the database</b>							
	Bac Kan	16	10	5	7		4	
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	2	0			
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	0	0	1	0	0	0	
2	<b>Number of complaints were answered/ or processed</b>							
	Bac Kan	16	10	5	6	18	4	
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	2	0			
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	0	0	1	0	0	0	

B. PAP 2:

- a) All recommendations advised for the design and construction supervision contracts and for bids of construction contract regardless of bid prices lower or higher than the estimates, must be reviewed and evaluated; all proposal and tenders having minor deviations should not be removed.

No	Description	2015	2016	2017	2018	2019	2020	2021
1	<b>Number of bids were excluded because bid price higher than estimate</b>							
	Bac Kan	0	0	1	0	0		
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	0	0			
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	1	0	0			
	Yen Bai	0	0	0	0	0	0	
2	<b>Number of bids were rejected because of minor deviations which are not significant</b>							
2	Bac Kan	0	0	0	0	0		
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	0	0			
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	0	0	0	0	0	0	

- b) At least 30% of the design consultancy contract and construction supervision contract must be competitive bidding, this proportion should be increased to 80% at the end of the program.

No	Description	2015	2016	2017	2018	2019	2020	2021
1	<b>Number of design consultancy contracts and construction supervision contracts in Procurement Plan</b>							
	Bac Kan	11	6	5	5	1	1	
	Cao Bang	2	0	5	0	2	1	
	Dien Bien Phu	1	1	0	0			
	Hoa Binh	5	1		1			
	Thai Nguyen	3	4	2		0	0	
	Tuyen Quang	0	1	0	0			
	Yen Bai	4	3	6	0	4	6	
2	<b>Number of design consultancy contracts and construction supervision contracts is subjected to competitive bidding</b>							
	Bac Kan	7	3	5	2	1	1	
	Cao Bang	2	0	4	0	2	1	
	Dien Bien Phu	1	1	0	0			
	Hoa Binh	3	1		1			
	Thai Nguyen	3	4	2		0	0	
	Tuyen Quang	0	1	0	0			
	Yen Bai	2	3	1	0	4	6	

3	<b>Number of design consultancy contracts and construction supervision contracts is subjected to single source</b>							
	Bac Kan	4	3	0	3	0	0	
	Cao Bang	0	0	1	0	0	0	
	Dien Bien Phu	0	0	0	0			
	Hoa Binh	2	0		0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	2	0	5	0	0	0	

c) **At least 90% of construction contract must be competitive bidding, this proportion should increase to 100% at the end of the program**

No	Description	2015	2016	2017	2018	2019	2020	2021
1	<b>Number of Construction Contract in Procurement Plan</b>							
	Bac Kan	6	0	3	1	1	NPP	
	Cao Bang	9	3	5	0	2	1	
	Dien Bien Phu	5	5	7	4			
	Hoa Binh		2		1			
	Thai Nguyen	3	7	4	2	0	2	
	Tuyen Quang	0	1	0	0			
	Yen Bai	11	4	15	0	5	11	
2	<b>Number of Construction Contract is subject to competitive bidding</b>							
	Bac Kan	5	0	3	1	1	NPP	
	Cao Bang	9	3	5	0	2	1	
	Dien Bien Phu	5	5	7	4			
	Hoa Binh		2		1			
	Thai Nguyen	3	7	4	2	0	2	
	Tuyen Quang	0	1	0	0			
	Yen Bai	11	4	15	0	5	11	
3	<b>Number of Construction Contract is subject to single source bidding</b>							
	Bac Kan	1	0	0	0	0	0	
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	0				
	Hoa Binh		0		0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	0	0	0	0	0	0	

- d) The State-owned Company under Province/City/Department participating in the Program are not allowed to bid

No	Description	2015	2016	2017	2018	2019	2020	2021
1	<b>Number of all contract (construction, consultancy) in procurement plan</b>							
	Bac Kan	11	6	5	5	1	1	NPP
	Cao Bang	11	3	10	0	4	2	
	Dien Bien Phu	6	6	7				
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0		0	
	Yen Bai	15	7	21	0	9	17	
2	<b>Number of all contract (construction, consultancy) awarded to State Joint Stock company under Province participating</b>							
	Bac Kan	0	0	0	0	0	0	
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	0				
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0		0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	0	0	0	0	0	0	

- e) Companies and individuals in the ineligible or suspended list provided by WB and in the list of companies and individuals violating bidding on the national scale or localities scale are not allowed to participate in bidding

Số TT	Description	2015	2016	2017	2018	2019	2020	2021
1	<b>Number of all contracts (construction, consultancy) in the Procurement Plan</b>							
	Bac Kan	11	6	5	5	1	1	NPP
	Cao Bang	11	3	10	0	4	2	
	Dien Bien Phu	6	5	8	4			
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0			
	Tuyen Quang	0	0	0	0	0	0	
	Yen Bai	15	7	21	0	9	17	
2	<b>Number of contract (construction, consultancy) awarded to the Company that are declared ineligible and suspended by the companies</b>							
	Bac Kan	0	0	0	0	0	0	
	Cao Bang	0	0	0	0	0	0	
	Dien Bien Phu	0	0	0	0			
	Hoa Binh	0	0	0	0			
	Thai Nguyen	0	0	0	0	0	0	
	Tuyen Quang	0	0	0	0			
	Yen Bai	0	0	0	0	0	0	

3	<b>Number of contract (construction, consultancy) awarded to the company in the List of Companies violating published in National Electronic Procurement Network or List of Companies in Ineligible list provided by PPC</b>						
	Bac Kan	0	0	0	0	0	
	Cao Bang	0	0	0	0	0	0
	Dien Bien Phu	0	0	0			
	Hoa Binh	0	0	0	0		
	Thai Nguyen	0	0	0	0	0	0
	Tuyen Quang	0	0	0	0		
	Yen Bai	0	0	0	0	0	0

*Source: Report on PAP 2 of the PMUs.*

ANNEX 4. PAP 4 IMPLEMENTATION STATUS

TABLE 1. IMPACT OF PROGRAM

Participating city	No. of Affected Household (hh) Số hộ bị ảnh hưởng										Scale of land acquisition (m2) Quy mô thu hồi đất				No. of hh affected by loss of assets Số hộ BAH tài sản trên đất		Voluntary land donation Hộ hiến đất			Ghi chú	
	Total	Effected by Residential land Số hộ BAH đất ở		Affected by Agricultural land Số hộ BAH đất nông nghiệp		Other land Số hộ BAH đất khác	No. of Vulnerable hh Số hộ bị tổn thương		No. of Business hh Số hộ BAH kinh doanh	No. of relocated hh Số hộ TĐC	Res. Land Đất ở	Agr. Land Đất NN	Other Đất khác	Total Tổng số	HH with land compensation Hộ có bồi thường đất	HH without land compensation Hộ không bồi thường đất, chỉ bồi thường vật kiến trúc, cây cối	No. of hh hiến	Scale of land donated (m2) Quy mô hiến			
		Partially Một phần	Fully Toàn phần	<30%	≥ 30%		Total Tổng số	of which Ethnic Minority Số hộ BAH là DTTS										Res. Land Đất ở	Agr. Land Đất NN		Other Đất khác
1	2=(3+4+5+6+7+18)	3	4	5	6	7	8	9	10	12	13	14	15	16	17	18	19	20	21	22	
Bac Kan	505	72	93	274	63	1	351	-	-	93	16,623	159,125	35,899	339,668	544	2	-	-	-	-	
Cao Bang	2,097	897	-	260	-	24	-	-	-	-	-	-	-	-	-	1,339	1,400	9,520	9,672	37.7	
Dien Bien Phu	715	18	15	263	53	6	317	81	-	-	1,480	66,199	30,202	97,881	308	1	-	-	-	-	
Hoa Binh	766	341	39	139	-	7	766	108	11	39	9,276	7,616	7,842	24,734	526	240	-	-	-	-	Chưa gửi BC
Thai Nguyen	949	308	281	251	91	11	117	-	67	312	21,150	55,079	7,940	84,169	808	7	-	-	-	-	
Tuyen Quang	663	433	9	45	-	166	663	-	23	10	7,839	11,066	4,860	23,765	645	-	-	-	-	-	Chưa gửi BC
Yen Bai	1,341	528	14	333	23	4	885	-	-	9	5,301	53,769	44,109	92,991	509	161	161	625	5,530	18,445	
<b>TOTAL</b>	<b>7,036</b>	<b>2,597</b>	<b>451</b>	<b>1,565</b>	<b>230</b>	<b>219</b>	<b>3,099</b>	<b>189</b>	<b>101</b>	<b>463</b>	<b>61,669</b>	<b>352,854</b>	<b>130,851</b>	<b>663,208</b>	<b>3,340</b>	<b>1,750</b>	<b>1,561</b>	<b>10,145</b>	<b>15,202</b>	<b>18,483</b>	



**TABLE 2 COMPENSATION PAYMENT PROCESS**

Participating city	Total affected hh Tổng số hộ BAH	Total compensation value (VND) Tổng giá trị bồi thường			Compensation progress Tiến độ thực hiện				Percentage of land clearance per total land required (%) Tỷ lệ GPMB trên tổng diện tích đất	Remark Ghi chú
		Approved value Giá trị phê duyệt	Actual payment Chi trả thực tế	Percentage of payment (%) Tỷ lệ đã chi trả	No. of hh received, handed-over Số hộ BAH đã nhận và bàn giao	No. of hh received, NOT handed-over Số hộ BAH đã nhận và chưa bàn giao	No. of hh handed-over, NOT received Số hộ BAH đã bàn giao và chưa nhận	No. of hh NOT received, NOT handed-over Số hộ BAH chưa bàn giao và chưa nhận		
Bac Kan	604	88,727,829,068	88,240,936,596	99%	542	-	1	93		
Cao Bang	2097	19,559,710,796	19,559,710,796	100%	2,097.0	0	0	0		
Dien Bien Phu	720	27,007,246,277	13,578,320,660	50%	353	-	-	31		
Hoa Binh	766	67,411,286,244	65,899,439,252	98%	763	-	-	35		
Thai Nguyen	949	326,016,349,599	281,853,565,414	86%	752	-	1	196		
Tuyen Quang	656	32,983,614,846	31,784,853,646	96%	644	-	-	5		Not yet send report
Yen Bai	1,167	29,059,504,855	26,732,093,455	92%	805	-	93	269		
<b>TOTAL</b>	<b>6,959</b>	<b>590,765,541,685</b>	<b>527,648,919,819</b>	<b>6</b>	<b>5,956</b>	<b>-</b>	<b>95</b>	<b>629</b>	<b>-</b>	

**TABLE 3. RESETTLEMENT STATUS**

Participating city	No. of Relocated Household (hh) Số hộ tái định cư			Resettlement site development Khu vực tái định cư					Remark Ghi chú
	Total Tổng số	Resettled to res. Site Di dời đến khu TĐC	Self-relocation TĐC tại chỗ	No. of resettlement site Số khu TĐC	Total No. of land plot Tổng số lô của khu TĐC	No. of hh relocated in res. Site Số hộ di dời đến khu TĐC	No. of plot occupied Số lô đất được sử dụng	No. of hh received LURC (if available) Số hộ được nhận sổ đỏ (nếu có)	
Bac Kan	21	21	0	2	0	3	3	3	
Cao Bang	0	0	0	0	0	0	0	0	
Dien Bien Phu	16	16	0	2	0	0	0	0	Not yet send report
Hoa Binh	39	0	0	2	0	39	0	39	
Thai Nguyen	126	78	48	4	149	133	133	133	Not yet send report
Tuyen Quang	10	-	7	2	-	2	-	8	<i>Not enough data</i>
Yen Bai	10	9	0	1	0	9	9	10	Not yet send report
<b>TOTAL</b>	<b>222</b>	<b>124</b>	<b>55</b>	<b>13</b>	<b>149</b>	<b>186</b>	<b>145</b>	<b>193</b>	

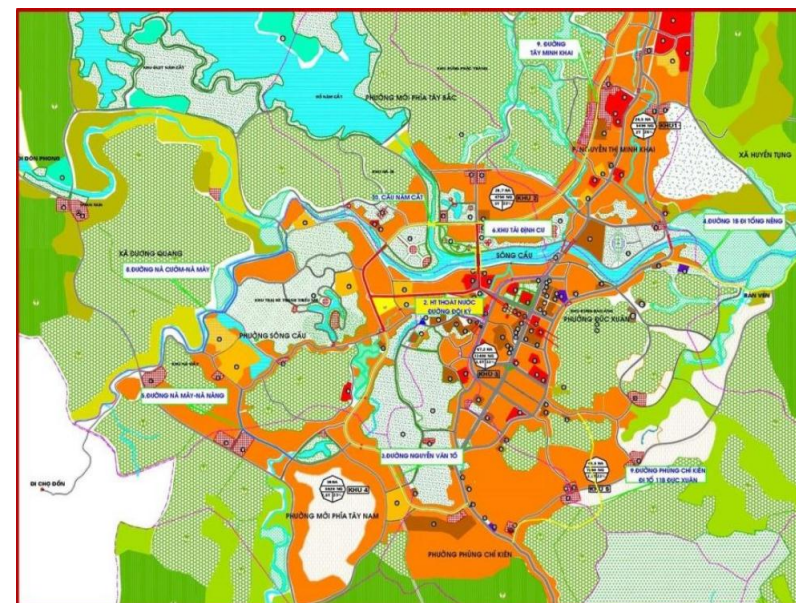
## ANNEX 5. DETAILED CITIES ASSESSMENTS

### I. BAC KAN CITY

#### 1. Map and Key Data

Bac Kan City: 12 subprojects, \$34,3 million(IDA:23.48 CF:10.82)					
Phase 1( 2015-2016)			Phase 1( 2015-2016)		
5 subprojects			5 subprojects		
IDA	IDA	IDA	IDA	IDA	IDA
5,74	1,28	7,02	17,75	9,54	27,29
81,76%	18,24%	100%	65,04%	34,96%	100%

- Total 12 subprojects:** 6 completed as of May 2020: 2 completed 2015 (has been re-verified and passed in phase 2 of 2018); 2 completed and verified in 2016; 2 completed and verified in 2017; no completed in 2018. 4 planned for completion in 2020 (2 carried forward from 2019), this 4 are on-going. 2 subprojects (Na May- Na Nang road and Na Cuom Na May road) has been replaced by 3 other subprojects (The PPC approved the adjustment of the Project Document in Decision No. 2030/QD-UBND dated October 25, 2019 and the CPC approved the consultancy costs for those subprojects in Decision No. 2432/QD-UBND dated November 18, 2019 and those decisions has been submitted to the Ministry of Construction for updating).
- Total civil works cost estimate of all subprojects is \$21.4mln;** Cost estimate of civil works of completed subprojects as of Nov 2018 is \$10.02mln (47% of total civil cost estimate); the actual contract value is \$7.56 mln; Cost estimate of civil works of remaining subprojects is \$11.38mln (53% of total civil cost estimate).



*The map of subprojects*

#### 2. Detail Progress

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	<b>2015</b>	<b>2</b>		<b>1,921,556</b>		
<b>PHASE 1</b>	1	299,279	<b>Completion</b>	262,461	2015	
	2	1,862,385	<b>Completion</b>	1,659,096	2015	Be verified in the phase 2 of 2018 :

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
						passed
<b>2016</b>	<b>2</b>	<b>3,623,038</b>		<b>2,529,205</b>		
3	Road from 1B cluster to Tong Neng	2,942,638	Carried forward	1,919,037	2015	
4	Drainage Improvement Cluster 2,3, Duc Xuan ward	526,053	<b>Completion</b>	464,016	2015	
5	Kon Tum Road	70,767	<b>Completion</b>	61,980		
6	Embankment of Pa Danh stream	83,580	Carried forward	84,173	2017	
<b>2017</b>	<b>2</b>	<b>3,026,218</b>		<b>2,003,210</b>		
<b>PHASE 2</b>	Road from 1B cluster to Tong Neng		<b>Completion</b>			
	Embankment of Pa Danh stream		<b>Completion</b>			
<b>2018</b>	<b>0</b>					<b>Not registry any completion</b>
<b>2019</b>	<b>3</b>	<b>7,395,395</b>		<b>4,599,411</b>		
7	Nam Cat Bridge	4,076,317	Ongoing	2,511,912	2017	
8	Road Group 11B, Duc Xuan ward - Group 11, Phung Chi Kien ward	1,191,859	Completed bidding	-	DK 2019	Land and property pricing
9	Resettlement area	2,127,219	Ongoing	2,087,499	2017	
<b>2020</b>	<b>3</b>	<b>8,226,663</b>		<b>4,325,259</b>		
10	Tay Minh Khai Road	2,921,083	Ongoing	4,325,259	2018	Package BKII-27: 22% Package BKII-26: 36%
	Nam Cat Bridge		Ongoing			91,4%
	Road Group 11B, Duc Xuan ward - Group 11, Phung Chi Kien ward		Ongoing			The contract was awarded on January 8, 2020 and the construction has started, so far, 2/3 of contractors have been carrying out the

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
						construction. One contractor is waiting to hand over the construction site
	Resettlement area		Ongoing			82,7%
	Na Cuom-Na May Road	3,288,814	Changed			Has been replaced by 3 other subprojects (The PPC approved the adjustment of the Project Document in Decision No. 2030/QD-UBND dated October 25, 2019 and the CPC approved the consultancy costs for those subprojects in Decision No. 2432/QD-UBND dated November 18, 2019
	Na May-Na Nang Road	2,016,766	Changed			
	11	Construction of Doi Ky Bridge				The contractor selection process has been completed and the consultancy contract has been awarded in the first quarter of 2020.
	12	repairing and restoring the flooding situation of Bac Kan high school				
	13	Upgrading and renovating Doi Ky road and Ban Van Hoan road				
Total	<b>13 (6 Com-4 On- 3 completed Bidding)</b>	<b>21,406,760</b>		<b>13,375,433</b>		<b>6/13 passed</b>

*Remark: 2 sub-projects (Na Cuom-Na May Road and Na May-Na Nang Road) has been replaced by 3 subprojects, thus total subprojects is 13.*

### 3. Allocation and funding gaps in MTIP

- Currently approved IDA and Counterpart funding 303 and 1746 (2016-2020) is \$19,58mln and \$7.04mln.
- Remaining available amount of IDA and Counterpart is \$12 mln and \$2,10 mln.

- Gaps in IDA and counterpart approval for 2019-2020 is \$3,91mln and \$3.56mln.

**4. Technical Key Findings:**

- Nam Cat Bridge and the path on both sides of the bridge: there is a position with conflict of technical infrastructure where is the electric cables cut across and lie on the bottom of the rainwater outlet).
- Tay Minh Khai Road: construction joint of vertical drainage ditch has not been tight yet; at the place where crushed aggregate base grade 2: There is a means of transporting materials to move, when it rains, drag surrounding dirt into the surface of this crushed aggregate base.

**5. Environmental Safeguard**

5.1 Environmental Records: The PMU has arranged professional staff to monitor environmental management; Records include: EIA report, EMP report, Environmental monitoring contract, quarterly environmental monitoring report.

The Monthly Construction Supervision Report has environmental and safety content but not detailed.

Environmental, health and safety issues in the monthly monitoring reports of supervision consultants are repeated between different months, and for different work items is not appropriate.

5.2 Environmental monitoring on site:

- At the Nam Cut Bridge area: the constructor has been set up warning sign.
- Tay Minh Khai Road: There is no construction solution to ensure absolute safety for the high difference positions between people's houses and the ground.



There is board on subproject information (Tay Minh Khai Road)



There is a table of rules on construction site (Tay Minh Khai Road)



Tay Minh Khai Road: There is no construction solution to ensure absolute safety for the high difference positions between people's houses and the ground.



Key and dangerous location has been warning by contractor (Nam Cut bridge item)

## 6 Proposal Adjustment Subprojects

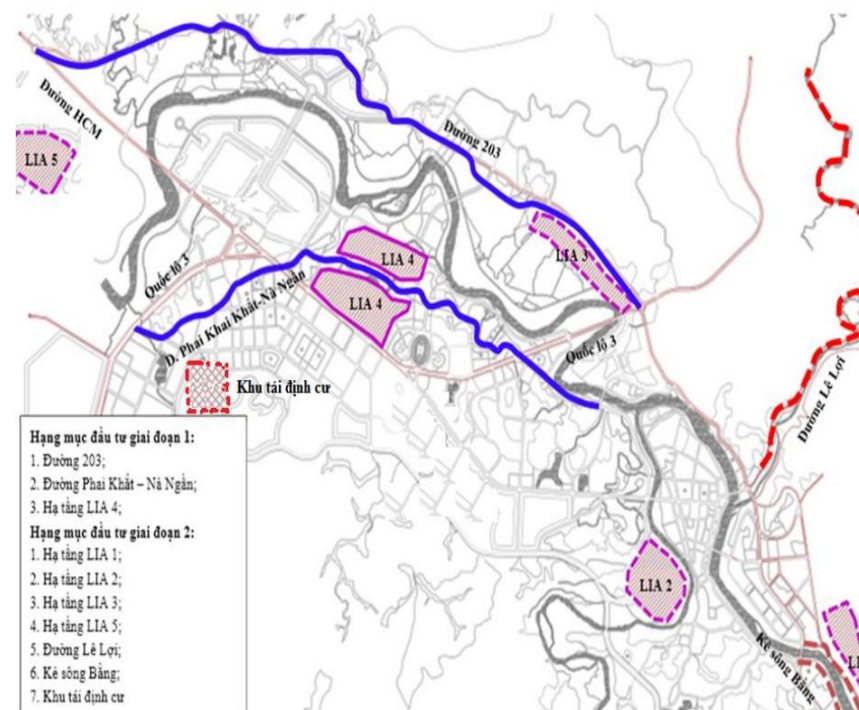
The 2 road subprojects (Na Cuom-Na May Road and Na May-Na Nang Road) has been replaced by 03 other projects, (The PPC approved the adjustment of the Project Document in Decision No. 2030 / QD-UBND dated 25/10/2019 and submitted to the Ministry of Construction. elaborating and updating the Program Document. The CPC has approved the consulting cost for the changed items in Decision No. 2432/QD-UBND dated 18/11/2019; The survey consultancy contractors and preparing adjustment projects is in the bidding process. It is expected to complete the work of selecting contractors and awarding consultancy contracts in the first Quarter 2020.)

## II. CAO BANG CITY

### 1. Map and Key Data

Cao Bang City: 10 subprojects, \$32.05 million (IDA:28.61 CF:3.44)					
Phase 1 ( 2015-2016) 3 subprojects			Phase II ( 2017-2020) 7 subprojects		
IDA	CP	Total	IDA	CP	Total
11,03	0,88	11,91	17,58	2,56	20,13
92.61%	7.39%	100%	87.31%	12.69%	100%

- Total 10 subprojects.** 8 completed as of 6/2020: 1 completed and verified for 2015 (be re-verified in phase 2 of 2018 and passed); 2 completed and verified for 2016; 1 completed and verified in 2017. 3 completed and to be verified in 2018. 2 remained for 2018-2020: 1 planned completion in 2019 and has been completed earlier in 2018; 1 planned for completion in 2020; 1 subproject (resettlement area) is not mention in 2020 EP. All remaining subprojects have been tendered. (except 2 packages on Greenery and electric lighting items of Bang River subproject).
- Total civil works cost estimate of all subprojects is \$32.1mln.** Cost estimate of civil works of completed subprojects as of Apr 2019 is \$10.75mln (33.5% of total cost estimate), and their actual contract value is \$10.71mln. Cost estimate of civil works of remaining subprojects is \$21.35mln (66.5% of total cost estimate).



*The map of subprojects*

### 2. Detail Progress

Year	Name of completed sub-projects		IDA	Status	Contract Cost	Start	Remark
PHASE 1	2015	1	4,171,811		4,300,195		
	1	Upgrading LIA 4	717,896	Completion	846,280		Be re-verified in phase 2 of 2018 and passed
	2	Phay Khat - Na Ngan road	3,453,914	Carried forward	3,453,914		Completion in 2016



Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	<b>2016</b>	<b>1</b>		<b>5,651,619</b>		
	3	Road No. 203	5,651,619	<b>Completion</b>	5,651,619	
	2	Phay Khat - Na Ngan road		<b>Completion</b>		
	<b>2017</b>	<b>1</b>		<b>2,435,732</b>		
<b>PHASE 2</b>	4	Upgrading for LIA 1	209,496	Carried forward		
	5	Upgrading for LIA 3	446,190	Carried forward	424,350	
	6	Upgrading for LIA 5	1,384,167	<b>Completion</b>	1,374,673	
	7	Upgrading for LIA 2	519,217	Carried forward	438,427	
	<b>2018</b>	<b>2</b>	<b>1,174,903</b>		<b>1,061,059</b>	
		Upgrading for LIA 1		<b>Completion</b>		
		Upgrading for LIA 3		<b>Completion</b>		
		Upgrading for LIA 2		<b>Completion</b>		
	<b>2019</b>		<b>1,818,708</b>		<b>1,775,719</b>	
	8	Upgrading for Le Loi Road	1,818,708	<b>Completion</b>	1,775,719	Completion in 2018
	<b>2020</b>		<b>18,449,007</b>		<b>8,571,579</b>	
	9	Embarkment of Bang River	14,186,207	Ongoing	8,571,579	Package 1: 100% Package 2: 48% Package 3: 15% Package 4: 20% 2 packages of Greenery and lighting have not yet been implemented.

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
10	Resettlement area	4,262,800	Change			No mention in EP 2020
<b>Tổng số</b>	<b>10 (8Com-1 On-1 drop, Not appear in EP 2020)</b>	<b>32,650,214</b>		<b>22,734,844</b>		
<i>Notes: Overall, Cao Bang has 10 subprojects, 3 subprojects in Phase 1, all completions; 7 subprojects in Phase 2, 1 completed in 2017, 4 completed in 2018 (3 as EP 2018 and 1 completed earlier), 1 ongoing, 1 Not appear in EP 2020 (Resettlement Area)</i>						

### 3. Allocation and funding gaps in MTIP

- Currently approved IDA and Counterpart funding decision of 303 and 1746 (2016-2020) is \$22,34mln and \$3.33mln.
- Remaining available amount of IDA and Counterpart is \$7,56 mln and \$0,08 mln.
- Gaps in IDA and counterpart approval is \$6,27mln \$ and 0.

### 4. Technical Key Findings:

Overall, the quality management in Cao Bang has been basically implemented and well maintained. The application of construction standards and pre-acceptance test during the construction process complies with current regulations and standards.

#### 5. Environmental Safeguard

##### 5.1 Environmental Records:

PMU has arranged professional staff to *monitor the environmental task, the environmental documentations are well archived. Include:*

- Having EIA report, EMP report.
- Having contracts for environmental monitoring, environmental monitoring reports every quarter. Weekly and monthly environmental reports have been prepared with good content and submitted in a systematic way, it will be better if adding more picture.
- PMU has complied with the guidance at POM when integrating ECOPs and Ethics Code into appropriate documents such as bidding documents and TORs of Supervision Consultant.
- The monitoring and reporting of environmental quality have complied with Vietnamese regulations and submitted to DONRE.
- Mitigation measures have been followed by the City. Fieldwork shows the site neat, clean; in the camps were fully equipped with medical medicine cabinets

##### 5.2 Environmental monitoring work on construction sites:

- Environmental activities performed: The environmental works for the construction items are strictly and completely performed by the contractor.
- For signed items, the contents related to environment and occupational safety are included in the bidding documents and the contract.

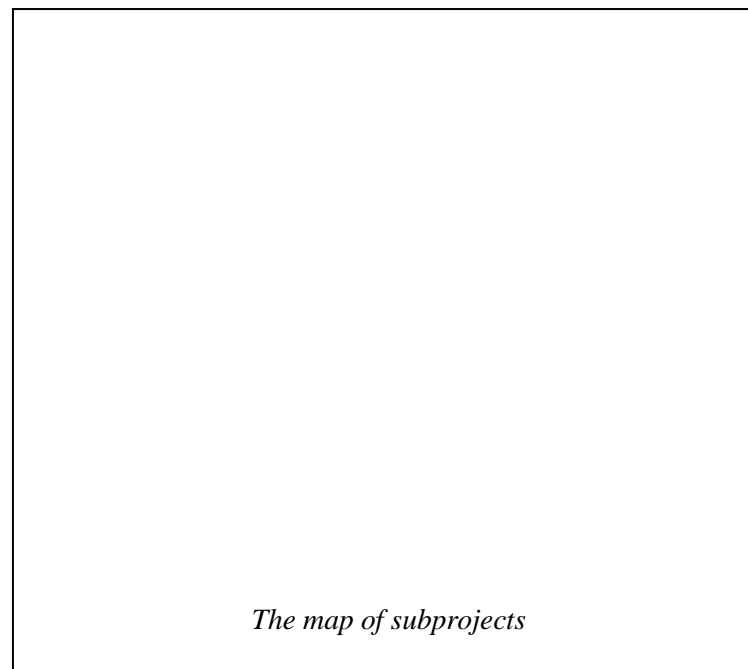
## 6 Proposal Adjustment Subprojects

### III. DIEN BIEN PHU CITY

#### 1. Map and Key Data

Dien Bien Phu City: 9 subprojects, \$30.76 million (IDA: 25.56 CF:5.2)					
Phase 1 (2015-2016) 3 subprojects			Phase 2(2017-2020) 6 subprojects		
IDA	CF	Total	IDA	C	Total
6.82	1.41	8.23	18.74	4.11	21.92
82,15	17,15%	100%	82,03%	17,97	100%

- **Total 9 subprojects divided to 13 items:** 7 completed as of 6/2020, including 1 verified in 2015, 1 verified in 2016 and 4 verified in 2017 (only one passed, 3 remaining has been re-verified in the 2<sup>nd</sup> phase of 2018 and passed); 7 remained for 2019-2020: 1 completed in 2019 and 6 planned for completion in 2020; All remaining subprojects have been tendered.
- **Total civil works cost estimate of all subprojects is \$20.8mln.** Cost estimate of civil works of completed subprojects as of Apr 2019 is \$7.8mln (38% of total cost estimate), and their actual contract value is \$6.7mln. Cost estimate of civil works of remaining subprojects is \$12.9mln (62% of total cost estimate).



#### 2. Detail Progress

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
<b>PHASE 1</b>	<b>2015</b>	<b>1</b>		<b>1,153,514</b>		
	1	Upgrading LIA 1		1,153,514	<b>Completion</b>	630,816
	<b>2016</b>	<b>2</b>		<b>4,173,199</b>		<b>3,849,898</b>
	2	C13 stream		2,480,894	Carried forward	2,248,998
	3	Bridge to Thanh Minh communes		1,692,304	<b>Completion</b>	1,600,900
<b>PHASE 2</b>	<b>2017</b>	<b>3</b>		<b>1,386,880</b>		
	4	LIA 2			<b>Completion</b>	has been re-verified in the 2nd

	LIA 4		<b>Completion</b>			phase of 2018 and passed
	LIA 5	1,386,880	<b>Completion</b>	1,177,652		
	C13 stream		<b>Completion</b>			
<b>2018</b>		<b>1,924,646</b>		<b>1,778,213</b>		
5	Him Lam Resettlement area	1,924,646	Carried forward	1,778,213		
<b>2019</b>		<b>10,000,312</b>		<b>8,734,094</b>		
6	Thanh Minh Primary School		Carried forward	1,537,852		
	Thanh Truong Kindergarten		Carried forward	493,49		
	Houses of culture	2,712,167	Carried forward	292,331		
7	Regulation reservoir	2,048,811	Ongoing	2,721,493	2018	
8	Hong Luu stream	5,239,334	Ongoing	4,474,748	2018	
<b>2020</b>		<b>2,162,243</b>	<b>Ongoing</b>	<b>2,268,015</b>		
9	Road from A1 bridge to C4 bridge	2,162,243	Ongoing	2,268,015	2018	20%
	Him Lam Resettlement area		Ongoing			1,5% Stopped construction due to delay in land acquisition
	Regulation reservoir		Ongoing			84%
	Thanh Minh Primary School		Ongoing		2018	40%
	Thanh Truong Kindergarten		Ongoing		2018	85,7%

	Houses of culture		Ongoing		2018	-House of culture of Nong Bua 100% -House of culture Nong Thanh 100% -House of culture of Nong Chun: ground filling
	<b>9 (5 Completion -4 on-going)</b>	<b>20,800,793</b>		<b>18,438,687</b>		<b>1 failed (LIA 2,4,5: LIA 4 failed)</b>

*Notes: Overall, Dien Bien Phu has 9 sub-projects divided to 13 sub-projects, 3 sub-projects in Phase 1, all completions; 6 sub-projects in Phase 2, 2 completed in 2017;4 ongoing;*

### 3. Allocation and funding gaps in MTIP

- Currently approved IDA and Counterpart funding under 303 (2016-2020) is \$22.26mln and \$2.84mln.
- Remaining available amount of IDA and Counterpart is 3,66 triệu \$ và 1,41 triệu \$.
- Gaps in IDA and counterpart approval amount for 2019-2020 is \$0mln and \$0mln.

### 4. Technical Key Findings

In general, the management of construction quality in Dien Bien Phu is basically done and well maintained.

The application of construction standards and pre-acceptance test during the construction process complies with current regulations and standards.

### 5. Environmental Safeguard

#### a) *Environmental records:*

Overall, the environmental management in the city basically complies with all environmental safety measures and meets the requirements of POM. No significant environmental incidents have been recorded in the City.

PMU has assigned full-time staff for environmental safety.

#### b) *Environmental monitoring work on construction sites:*

The workers on the construction site have not yet fully complied with labor protection;

No safeguard measures prevent material dumped into Nam Rom River;

Not equipped with fire extinguishers in camps and material warehouses;

There are no isolation barriers for households that have not been relocated, living in the construction area, as well as separating existing construction sites and residential areas.



The workers on the construction site have not yet fully complied with labor protection;



No safeguard measures prevent material dumped into Nam Rom River



Not equipped with fire extinguishers in camps and material warehouses



There are no isolation barriers for households that have not been relocated, living in the construction area, as well as separating existing construction sites and residential areas.

## 5 Proposal Adjustment Subprojects

Dien Bien Provincial People's Committee issued Document No. 268 / UBND-TH dated January 30, 2019 to the Ministry of Construction regarding the proposal of adding a new item to the investment list of the Northern Mountain Urban Program - Dien city. Bien Phu. Additional proposed investment items in Dien Bien Phu City include: (i) Renovating and upgrading LIAs 3, 6, 7, 8 and (ii) Renovating and upgrading road infrastructure urban communication Dien Bien Phu city. The total additional investment is expected to be about VND 480 billion, of which the city proposes to use 2 capital sources including: (i) VND 101,254 billion from the allocated capital for the approved Program (the contingency expenses unused and cost-saved through bidding of the Northern Mountain Urban

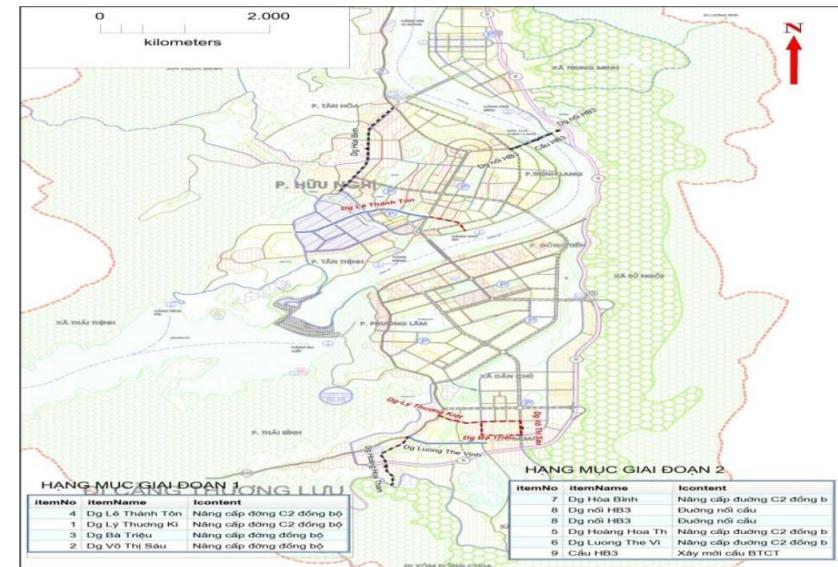
Program - Dien Bien Phu city sub-project) and (ii) VND 378,440 billion from the contingency fund of the project "Urban Program Northern mountainous town" loans from the World Bank are currently not allocated to the cities participating in the Program. It is expected that the addition of new items will require an extension of the duration of Program implementation at Dien Bien Phu.

## IV. HOA BINH

### 1. Map and Key Data

Hoa Binh City: 8 subprojects, \$32.53 million(IDA:29.79, CF:2.74)					
Phase 1( 2015-2016) 6 subprojects			Phase 1( 2015-2016) 6 subprojects		
IDA	IDA	IDA	IDA	IDA	IDA
7.74	7.74	7.74	7.74	7.74	7.74
88%	88%	88%	88%	88%	88%

- **Total 8 subprojects: 6 completed as of 6/2020:** 1 completed and verified as per EP plan of 2015; 1 completed and verified in 2017; 4 completed and be verified in 2018 but not passed, 2 planned for completion in 2019 but not completed and carried forward to 2020. All 8 subprojects have been tendered.
- **Total civil works cost estimate of all subprojects is \$28,47 mln.** Cost estimate of civil works of completed subprojects as of June 2019 is \$12.99 mln (46% of total cost estimate), and the actual contract value is \$11.24mln. Cost estimate of civil works of remaining subprojects is \$15.48mln (54% of total cost estimate).



The map of subprojects

### 2. Detail Progress

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
2015	<b>1</b>	<b>76,423</b>	<b>1</b>	<b>80,413</b>		
<b>GIAI ĐOẠN 1</b>	1 Luong The Vinh road	76,423	<b>Completion</b>	80,413	2015	
2016	<b>1</b>	<b>7,234,479</b>	<b>0</b>	<b>4,714,084</b>		
	2 Hoang Hoa Tham road	1,215,819	Carried forward	438,214		
	3 Ly Thuong Kiet road	1,441,748	Carried forward	1,148,870		
	4 Vo Thi Sau road	242,273	Carried forward	266,946		Completion in 2017
	5 Ba Trieu road	506,858	Carried forward	361,081		



Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	6	Le Thanh Tong road	3,827,781	Carried forward	2,498,973	Completion in 2017 (Not in EP)
<b>GIAI ĐOẠN 2</b>	<b>2017</b>	2				
		Hoang Hoa Tham road		Carried forward		
		Ly Thuong Kiet road		Carried forward		
		Ba Trieu road		Carried forward		
		Le Thanh Tong road		<b>Completion</b>		
	<b>2018</b>	1				
		Hoang Hoa Tham road		<b>Completion</b>		Failed due to Ly Thuong Kiet road
		Ly Thuong Kiet road		<b>Completion</b>		Failed
		Ba Trieu road		<b>Completion</b>		Failed due to Ly Thuong Kiet road
		Vo Thi Sau road		<b>Completion</b>		Failed due to Ly Thuong Kiet road
	<b>2019</b>		<b>21,157,121</b>		<b>19,603,113</b>	
	7	Hoa Binh Bridge 3	18,413,111	Ongoing	17,410,898	88%
		Approach heads to Hoa Binh br. 3		Ongoing		
	8	Hoa Binh road	2,744,010	Ongoing	2,192,215	30%, Adding infrastructure item (not tendered)
	2020					
Total		<b>8 (6 Com-2 On)</b>	<b>28,468,024</b>		<b>24,397,609</b>	<b>4 failed</b>
<i>Notes: Overall, Hoa Binh has 8 subprojects, 6 subprojects in Phase 1, 6 completions, 1 ongoing; 2 subprojects in Phase 2, 2 ongoing, 1 additional item is infrastructure of Hoa Binh road has not procurement plan;</i>						

### 3. Allocation and funding gaps in MTIP

- Currently approved IDA and Counterpart funding under Decision 303 and 1746 (2016-2020) is \$26,58 mln and \$0,77 mln.
- Remaining available amount of IDA and Counterpart is \$12,73 mln and 0.
- Gaps in IDA and counterpart approval for 2019-2020 is \$13,66 mln and \$1,05 mln.
- Hoa Binh is short of IDA and CF. City informed and wait to MPI allocating for supplement middle-term public investment plan.

### 4. Technical Key Findings

- In general, construction quality management in Hoa Binh is basically implemented and well maintained. The application of construction standards and pre-acceptance test during the construction process complies with current regulations and standards.
- HM Hoa Binh road: Through field observations, the local material is a soil with a large clay content, so the work of compaction of the road is difficult to ensure the tightness of K98.

### 5. Environmental Safeguard Findings

#### 5.1 Environmental records:

The PMU and the construction contractor of Hoa Binh 3 Bridge have well stored all types of environmental and labor safety documents, including:

Monthly report on environmental safety: reporting quality has been improved according to the actual construction with detailed evaluation and pictures

#### 5.2 Environmental monitoring work on construction sites:

- For Hoa Binh 3 bridge: the contractor satisfies the environment safety measures for workers on the ground and under the water.

Overall, the environmental management in the city basically complies with all environmental safety measures and meets the requirements of POM. No significant environmental incidents have been recorded in the City.

- For the upgrading and renovating Hoa Binh road: The gathering of materials (waste rock) on the road is currently obstructing the means of transportation on Hoa Binh road. Ensuring traffic safety during construction execution during the rainy season is not well implemented.



## V. THAI NGUYEN

### 1. Map and Key Data

Thai Nguyen City: 12 subprojects, \$78.69 million (IDA:59.54, CF:19.2)					
Phase 1( 2015-2016)			Phase 2 (2017-2020)		
5 subprojects			7 subprojects		
ID	CF	Total	IDA	CF	Total
12.76	7.81	20.57	46.78	11.3	58.13
62	38%	100%	80%	20%	100%

- **Total 12 subprojects; 11 completed as of March 2020:** 2 completed (be re-verified in 2nd phase in 2018 and passed); 3 completed and verified in 2016; 1 completed and verified in 2017, 3 completed in 2018, 1 completed and verified in 2019; 2 remaining planned for completion in 2020: 1 completed in 1st quarter 2020, there are 2 packages are under bidding. It expected to start construction in the second quarter of 2020, the expected implementation time is 18 months.
- **Total civil works cost estimate of all subprojects is \$58.79mln.** Civil works Cost estimate of completed subprojects as of Aug 2019 is \$29.26mln (49.77% of total cost estimate), and the actual contract value is \$19.72 mln Cost estimate of civil works of remaining subprojects is \$29.53mln (50.23% of total cost estimate).



The map of subprojects

### 2. Detail Progress

Year	Name of completed sub-projects		IDA	Status	Contract Cost	Start	Remark
	<b>2015</b>	<b>2</b>			<b>228,601</b>		
<b>PHASE 1</b>	1	Upgrading infrastructure Cot Co, Trưng Vương ward	169,057	<b>Completion</b>	147,858	2015	Re-verified and passed

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark	
	2	Upgrading infrastructure cluster 5, Hoang Van Thu ward	92,322	<b>Completion</b>	80,743	2015	
	<b>2016</b>	<b>3</b>	<b>12,823,951</b>		<b>7,163,082</b>		
	3	Viet Bac road (phase 1)	9,127,372	<b>Completion</b>	4,776,788	2015	
	4	Xuong Rong regulation reservoir	2,286,987	<b>Completion</b>	1,062,387	2015	
	5	Kindergarten of Dong Quang	1,409,593	<b>Completion</b>	1,323,907	2015	
<b>PHASE 2</b>	<b>2017</b>	<b>1</b>	<b>446,468</b>		<b>139,262</b>		
	6	Upgrading infrastructure 19/8 street, Trung Vuong ward	446,468	<b>Completion</b>	139,262	2016	
	<b>2018</b>	<b>2</b>	<b>15,723,167</b>		<b>12,194,041</b>		
	7	Viet Bac resettlement area	4,791,039	<b>Completion</b>	3,357,175	2016	
	8	Tan Long bridge	3,190,567	<b>Completion</b>	2,701,300	2016	
	9	Upgrading some waste water drainage channel	1,007,705	<b>Completion</b>	641,979	2016	
	10	Installation of underground cables in some main streets	6,733,856	<b>Carried forward</b>	5,493,587	2017	
	<b>2019</b>		<b>29,532,511</b>		<b>17,934,087</b>		
	11	Ben Tuong bridge	17,177,830	<b>Completion</b>	13,877,750	2016	
	12	Viet Bac road (phase 2)	12,354,681	<b>Carried forward</b>	4,056,337	2017	
	<b>2020</b>						
		Viet Bac road (phase 2)		<b>Ongoing</b>			Package 03A1 : 10%

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
						Package 03A2: land clearance Package 03B : 60%
	Installation of underground cables in some main streets		<b>Completion</b>			Completion in 1st Quarter Have been verified in June 2020
<b>Total</b>	<b>12 (11 completed – 1 on going )</b>			<b>38,982,980</b>		
<b>Notes: Overall, Thai Nguyen has 12 subprojects, 5 subprojects in Phase 1, 5 completions; 7 subprojects in Phase 2: 1 completed in 2017, 3 completed in 2018, ; 1 completed in 2019 ; 1 completed in 1<sup>st</sup> quarter 2020; 1 ongoing</b>						

### 3. Allocation and funding gaps in MTIP

- Currently approved IDA and Counterpart funding under Decision of 303 and 1746 (2016-2020) is \$**49,34** mln and \$16.97mln.
- Remaining available amount of IDA and Counterpart is \$**23,73** mln and \$0mln.
- Gaps in IDA and counterpart approval amount for 2019-2020 is \$10,2mln and \$4.16mln.

### 4. Technical Key Findings

In general, construction quality management in Thai Nguyen has been basically implemented and maintained well. The application of construction standards and pre-acceptance test during the construction process complies with current regulations and standards. Quality management records of all components as prescribed and relatively good.

- Package XL-03A1: It is necessary to have proper solutions to enhance drainage for embankment area, limit rainwater stagnation, affecting the quality of K95 embankment embankment constructed;

### 5. Environmental Safeguard

#### 5.1 Environmental Records:

Overall, the environmental management in the city basically complies with all environmental safety measures and meets the requirements of POM. No significant environmental incidents have been recorded in the City.

PMU has arranged specialized staff with appropriate expertise.

Environmental safety records, labor records, construction machinery and equipment are fully prepared and stored.

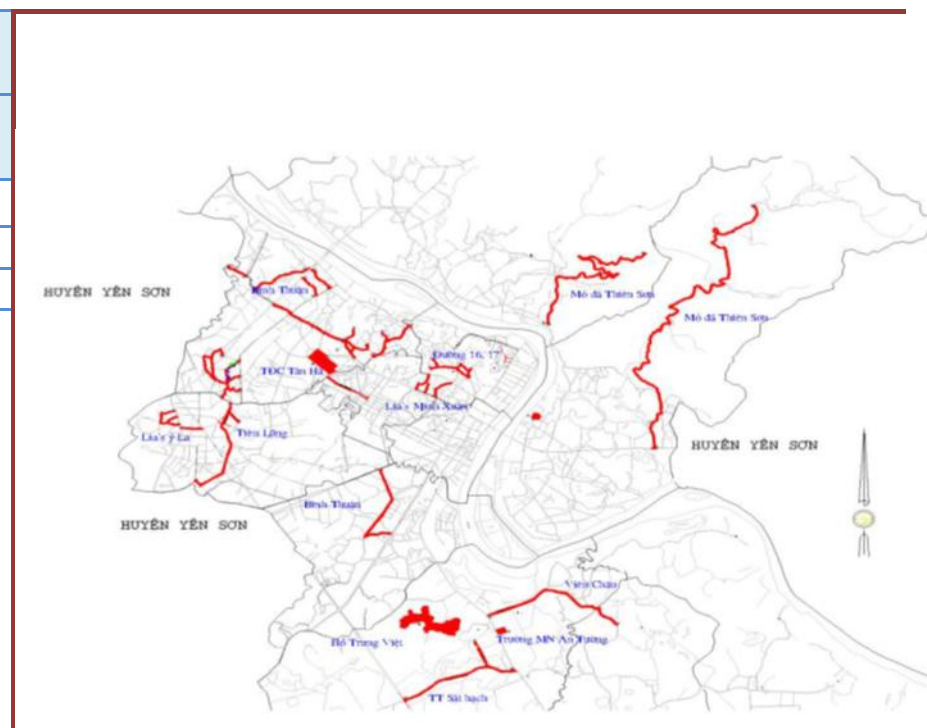
### 6. Proposal Adjustment Subprojects

## VI. TUYEN QUANG CITY

### 1. Map and Key Data

Tuyen Quang City: 16 subprojects, \$40.16 million (IDA: 32, CF:8.16)					
Phase 1( 2015-2016)			Phase 2(2017-2020)		
5 subprojects			11 subprojects		
IDA	CF	Total	IDA	CF	Total
6.51	1.42	7.94	25.49	6.73	32.22
82%	18%	100%	80%	20%	100%

- **Total 16 subprojects:** 9 completed up to 6/2020: 1 completed and verified in 2015, 4 completed and verified in 2016, 1 completed and verified in 2017; 2 completed in 2018; 1 completed in 2019; and 6 planned for 2020. Among the 7 remaining subprojects, 1 ongoing and 6 (no. 9, 10, 13, 14, 15, 16) are yet to be tendered and no. 16 is proposed to change.
- **Total civil works cost estimate of all subprojects is \$32mln.** Cost estimate of civil works of completed subprojects as of Aug 2019 is \$12.85mln (40% of total cost estimate), and their actual contract value is \$10.15mln. Cost estimate of civil works of remaining subprojects is \$19.15mln (60% of total cost estimate).



*The map of subprojects*

### 2. Detail Progress

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	<b>2015 1</b>					
<b>PHASE 1</b>	1 LIA 2	772,867	<b>Completion</b>	695,627		
	<b>2016 4</b>	<b>5,326,523</b>		<b>4,701,496</b>		
	2 Le Duan road	2,587,765	<b>Completion</b>		2015	
	3 Road Section 1 to Vien Chau		<b>Completion</b>	2,221,229	2015	

Year		Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	4	Kindergarten Nong Tien	2,738,758	<b>Completion</b>	2,480,267	2015	replace Kindergarten Hung Thanh
	5	Kindergarten of An Tuong		<b>Completion</b>			
	<b>2017</b>	<b>1</b>					
<b>GIAI ĐOẠN 2</b>	6	Tan Ha resettlement area	2,521,666	<b>Completion</b>	958,035	2016	
	<b>2018</b>	<b>2</b>	<b>5,668,951</b>		<b>2,061,849</b>		
	7	Road cluster 16, 17-Chu Van An road	561,104		515,907	2016	10%
	8	LIA 3		<b>Completion</b>		2016	
	9	Tien Lung Road, Y La	1,160,422	NPP	-	2017	replace Ton Duc Thang road
	10	Road NH 37 to the extended Le Loi road.	2,152,506	NPP	-		
	<b>2019</b>		<b>7,682,261</b>				
	11	Road Section 2 to Vien Chau		<b>Completion</b>		2016	
	12	Trung Viet reservoir		Carried forward		2016	90%
		Road cluster 16, 17-Chu Van An road		<b>Completion</b>		2018	100% according to the 2019 report of the City
	<b>2020</b>		<b>10,035,122</b>		-		
	13	Road Phan Thiet-17/8, Phan Thiet ward.	642,287	NPP			
	14	LIA 1	2,521,666	NPP			
	15	Road Dum village-Cam temple, Trang Đa	6,514,252	NPP			
	16	Road from city center to Lo 7 river	356,917	NPP			Expect to be replaced
		Tien Lung Road, Y La		NPP			

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	Road NH 37 to the extended Le Loi road.		NPP			
	Trung Viet reservoir		On going			95%
<b>Total</b>	<b>16 (8 Com-1 On-5 NPP-1 Change)</b>	<b>32,007,389</b>		<b>10,665,912</b>		
<i>Notes: Overall, Tuyen Quang has 16 subprojects, 5 subprojects in Phase 1, all completions; 11 subprojects in Phase 2, 1 completed in 2017, 2 completed in 2018, 1 completed in 2019, 1 ongoing, 6 others have no procurement plan (one propose to change);</i>						

### 3. Allocation and funding gaps in MTIP

- Currently approved IDA and Counterpart funding under Decision 303 and 1746 (2016-2020) is \$**29,50**mln and \$3.33mln.
- Remaining available amount of IDA and Counterpart is \$**15,54** mln and \$0,26 mln.
- Gaps in IDA and counterpart approval amount for 2019-2020 is \$**2,50** mln and \$5.32mln.

### 4. Technical Key Findings

Trung Viet Lake: construction is temporarily halted; visual observations show that there have been no worries about construction quality.

### 5. Environmental Safeguard

Overall, the environmental management in the city basically complies with all environmental safety measures and meets the requirements of POM. No significant environmental incidents have been recorded in the City.

### 6. Proposal Subprojects (change, addition)

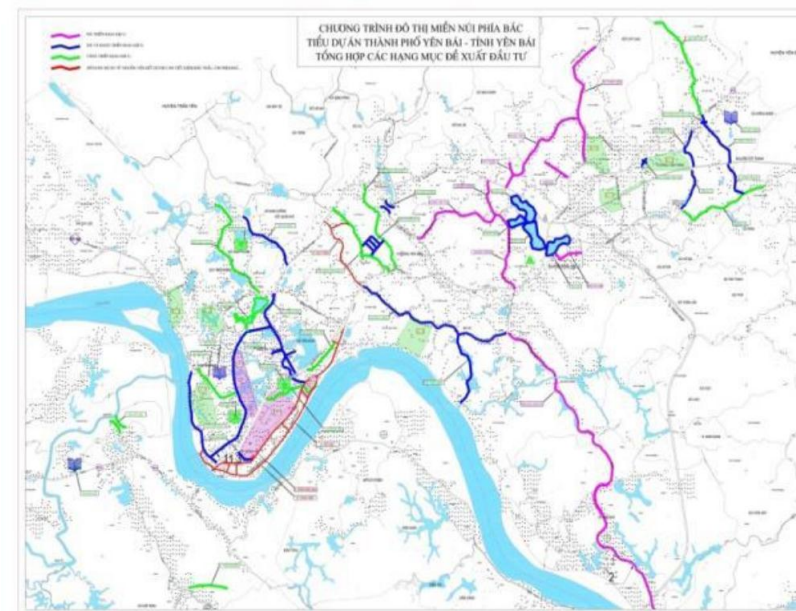
- As reported by the city, there is 7 US\$ million more for saving from bidding. Tuyen Quang City proposes to use these funds by adding more subprojects as replace to Song Lo 7 road by Nguyen Chi Thanh Road. Nguyen Chi Thanh Road has much vehicles. The pavement is damaged. Construction Nguyen Chi Thanh is not required any resettlement.



## VII. YEN BAI CITY

### 1. Map and Key Data

Yen Bai City: 50 subprojects, \$34.23 million (IDA:29,45, CF:4,78)					
Phase 1( 2015-2016) 11 subprojects			Phase 2(2017-2020) 39 subprojects		
IDA	CF	Total	IDA	CF	Total
7.29	0.62	7.91	22.16	4.16	26.32
92%	8%	100%	84%	16%	100%



• **Total 50 subprojects (divided from originally 18 subprojects):** 33 completed as of June 2020: 3 completed but failed verification in 2015 (be reverified and passed), 4 completed and passed verification in 2016, 6 completed and passed verification in 2017; 7 completed and to be verified in 2018 and 11 completed in 2019; 19 subprojects planned for completion in 2020: among which 5 completed in 2020.

• **Total civil work cost estimate of all subprojects is \$21.47mln.** Cost estimate of civil works of completed subprojects as of Aug 2019 is \$15.64mln (72.8% of total cost estimate), and the actual contract value is \$13.52mln. Cost estimate of remaining subprojects is \$5.83mln (27.2% of total cost estimate).

### 2. Detail Progress

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark	
PHASE 1	<b>2015</b>	<b>3</b>		<b>586,382</b>			
	1	Infrastructure LIAs Hong Ha : LIA 1, LIA 3	260,439	<b>Completion</b>	78,902	2015	
	2	Roads Dong Tam : Quang Trung road	255,811	<b>Completion</b>	246,979	2015	

Year		Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	3	Roads Dong Tam :To Hieu Road	277,571	<b>Completion</b>	260,501	2015	Reverified in 2 <sup>nd</sup> phase of 2018 and passed
	<b>2016</b>	<b>4</b>	<b>1,600,620</b>		<b>1,496,006</b>		
	4	Roads Dong Tam :Xom Bun	143,746	<b>Completion</b>	140,289	2015	
	5	Roads Dong Tam :Ngo Si Lien	121,056	<b>Completion</b>	124,522	2015	
	6	Roads Dong Tam :Thanh Liem road	1,075,379	<b>Completion</b>	1,050,063		
	7	infrastructure LIAs Hong Ha : LIA 2	260,439	<b>Completion</b>	181,132	2015	
	<b>2017</b>	<b>6</b>			<b>7,197,858</b>		
<b>PHASE 2</b>	8	infrastructure LIAs Yen Thinh : LIA 5		<b>Completion</b>		2017	
	9	infrastructure LIAs Yen Thinh : LIA 6	95,501	<b>Completion</b>	95,093	2017	
	10	infrastructure LIAs Nguyen Phuc :LIA 9		Carried forward		2017	
	11	infrastructure LIAs Nguyen Phuc :LIA 9	184,533	Carried forward	182,630	2017	Completion in 2018
	12	Construction resettlement areas	1,347,979	Carried forward	1,411,593	2017	Completion in 2018
	13	Roads and bridges in Yen Thinh :Dam Lot road	151,690	Carried forward	152,133	2017	Completion in 2018
	14	Roads and bridges in Yen Thinh :Nguyen Quang Bich	224,069	Carried forward	222,920	2017	Completion in 2018
	15	roads and bridges in Yen Ninh :LIA 8		Carried forward		2016	Completion in 2018
	16	Renovating, extending some kindergartens: Hoa Hue	194,256	<b>Completion</b>	194,086	2015	

Year		Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	17	Renovating, extending some kindergartens:Yen Think	25,423	Carried forward	186,180	2017	
	18	Renovating,extending some kindergartens:Hoa My	-	<b>Completion</b>	324,460	2015	
	19	Roads and bridges in Yen Think :Luong Yen road	501,806	Carried forward	481,288	2017	
	20	Roads and bridges in Yen Think :Bridge in the Luong Van Can	101,368	Carried forward	101,274	2016	Completion in 2018
	21	Bao Luong road	1,038,644	Carried forward	1,041,262	2017	Completion in 2018
	22	Hoang Van Thu road	712,049		689,453	2015	
	23	Roads Dong Tam :Ha Huy Tap	383,450	<b>Completion</b>	370,574	2015	
	24	Van Tien road (Km4 to Van Phu bridge)	3,559,033	<b>Completion</b>	1,744,913	2015	
	<b>2018</b>	<b>14</b>	<b>6,962,761</b>	<b>Completion</b>	<b>5,826,163</b>		
	25	Roads and bridges in Yen Ninh :Dieu Duong Bridge	76,466	<b>Completion</b>	76,469	2017	Completion in 2017 (Not in EP)
		Bao Luong road		<b>Completion</b>		2017	
	26	Roads and bridges in Yen Think: Bridge to Yen Think KG	143,915	Carried forward	144,295	2016	
		Roads and bridges in Yen Think :Dam Lot		<b>Completion</b>			
		Roads and bridges in Yen Think :Nguyen Quang Bich		<b>Completion</b>			
		Roads and bridges in Yen		<b>Completion</b>			

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	Thinh:Bridge in the Luong Van Can					
	Roads and bridges in Yen Thinh:Luong Yen		<b>Completion</b>			
27	Roads in Nguyen Thai Hoc, Nguyen Phuc ward:Ho Binh	474,214	<b>Completion</b>	433,754	2017	
28	Roads in Nguyen Thai Hoc , Nguyen Phuc ward:Thanh Cong	470,147	<b>Completion</b>	433,124		
29	Roads in Nguyen Thai Hoc, Nguyen Phuc ward: Nguyen Phuc	375,797	<b>Completion</b>	327,640	2017	
30	Roads in Nguyen Thai Hoc, Nguyen Phuc ward:B Nguyen Thai Hoc	231,854	NPP	-	2019	
31	Roads in Nam Cuong ward:Le Chan road	310,765	Carried forward	283,859	2017	
32	Roads and bridge in Hop Minh ward:Cau to 3, Hop Minh ward	137,868	NPP		2019	
33	Renovating, extending some kindergartens:Ho Binh	214,877	NPP		2019	
34	Renovating, extending some kindergartens:Ho Binh	312,936	NPP		2019	
35	Public street lighting systems at some main streets	-	NPP		2019	
36	Embankment Central lake at Km5 and Hoa Binh lake:Thieu Nhi	1,702,475	<b>Completion</b>		2016	

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark	
	37	Embankment Central lake at Km5 and Hoa Binh lake:Thanh Nien		<b>Completion</b>	767,389	2016	
	38	Embankment Central lake at Km5 and Hoa Binh lake:Hao Gia		<b>Completion</b>		2016	
	39	Embankment Central lake at Km5 and Hoa Binh lake:Thuy San		<b>Completion</b>	807,796	2016	
		Construction resettlement areas		Carried forward			
		Infrastructure LIA's Nguyen Phuc ward: LIA 9		<b>Completion</b>			
		infrastructure LIA's Nguyen Phuc ward:LIA 11		<b>Completion</b>			
		roads and bridges in Yen Ninh :LIA 8		<b>Completion</b>		2017	
		Renovating, extending some kindergartens:Yen Thinh		<b>Completion</b>			
	<b>2019</b>			<b>4,876,484</b>		<b>1,411,593</b>	
	40	Embankment Central lake at Km5 and Hoa Binh lake:Hoa Binh	813,258	NPP	-	2019	
	41	Roads in Nguyen Thai Hoc, Nguyen Phuc ward:Nguyen Khac Nhu	273,502	NPP	-	2019	
	42	Roads and bridge in Hop Minh ward:Thôn Đá Đen	188,069	NPP	-	2019	
	43	Renovating, extending some kindergartens in Yen Bai city: Son Ca	222,342	NPP	-	2019	
	44	roads and bridges in Yen Ninh ward:Tuan Quan	702,654	NPP	-	2019	

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark	
	45	Roads in Nam Cuong ward:Tran Binh Trong	569,012	NPP	-	2019	
		Construction resettlement areas		On-going		2017	60%
		Renovating, extending some kindergartens:Hoa Ban		NPP		2019	
		Renovating, extending some kindergartens:Hoa Mai		NPP		2019	
		Roads and bridge in Hop Minh ward:Cau to 3		NPP		2019	
		Roads in Nguyen Thai Hoc, Nguyen Phuc ward:B Nguyen Thai Hoc		NPP		2019	
		Public street lighting systems at some main streets		NPP		2019	
		Hoang Van Thu road		<b>Completion</b>		2015	
		Roads and bridges in Yen Think:Bridge to Yen Think KG		<b>Completion</b>		2017	
		Roads in Nam Cuong ward:Le Chan road		On-going		2017	95%
		Roads and bridges in Yen Think :Luong Yen road		On-going		2017	75%
	<b>2020</b>		<b>5,701,158</b>		-		
	46	Embankment Ngoi Yen stream	756,682	On-going	-	2019	37%
		Renovating, extending some kindergartens:Hoa Ban		On-going		2020	40%

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	Renovating, extending some kindergartens: Hoa Mai		On-going		2020	45%
	Renovating, extending some kindergartens in Yen Bai city: Son Ca		On-going		2020	35%
47	Dien Bien road - Yen Ninh road: The side The architecture jsc.	847,145	On-going	-	2019	Gathering materials
48	Dien Bien road - Yen Ninh road: The side Health Department	320,721	On-going	-	2019	25%
49	Roads and bridges in Yen Thinh: 7C		On-going	-	2020	40%
50	Roads and bridges in Yen Thinh: Yen The	856,076	On-going	-	2020	35%
	Roads in Nguyen Thai Hoc, Nguyen Phuc ward: Nguyen Khac Nhu		On-going		2019	100%
	Roads in Nguyen Thai Hoc, Nguyen Phuc ward: B Nguyen Thai Hoc				2019	100%
	Roads and bridge in Hop Minh ward: Đa Đen Commune		On-going		2020	35%
	Roads and bridge in Hop Minh ward: Cau to 3				2020	55%
	roads and bridges in Yen Ninh ward: Tuan Quan		On-going		2019	45%
	Roads in Nam Cuong ward: Tran Binh Trong		On-going		2019	100%
	Roads in Nam Cuong ward: Le Chan road				2017	100%
	Construction resettlement areas				2017	55%
	Roads and bridges in Yen Thinh : Luong Yen road				2017	100%

Year	Name of completed sub-projects	IDA	Status	Contract Cost	Start	Remark
	Embankment Central lake at Km5 and Hoa Binh lake: Hoa Binh		On-going		2020	20%
	Public street lighting systems at some main streets				2020	40%
<b>Total</b>	<b>50 (33Com-17On)</b>	<b>21,477,129</b>		<b>12,554,571</b>		<b>36/50</b>

*Notes: Overall, Yen Bai has 50 subprojects, 7 subprojects in Phase 1, 7 completions; 43 subprojects in Phase 2, 6 completed in 2017, 7 completed in 2018; 11 completed in 2019, 5 completed as of 2nd quarter 2020, 14 ongoing*

### 3. Allocation and funding gaps in MTIP

- Currently approved IDA and Counterpart funding under Decision 303 and 1746 (2016-2020) is \$25,73 mln and \$4.09mln.
- Remaining available amount of IDA and Counterpart is \$12,22 mln and 1,18 mln.
- Gaps in IDA and counterpart approval amount for 2019-2020 is \$3,72 mln and \$0 mln.



#### 4. Technical Key Findings:

In general, construction quality management in Yen Bai has been basically implemented and maintained well. The application of construction standards and pre-acceptance test during the construction process complies with current regulations and standards.

#### 5. Environmental Safeguard

Overall, the environmental management in the city basically complies with all environmental safety measures and meets the requirements of POM. No significant environmental incidents have been recorded in the City

The work of environmental safety and labor safety outside the construction site has been basically carried out; clean and tidy construction site; The material is gathered at the prescribed place. There were no records of complaints from the local community

For the dumping ground: there is an agreement on the disposal site. However, PMU and the Contractor, CSC need to supplement the testing sheet of soil quality.

For road items under construction of the concrete pouring of drainage culverts, PMU should request construction contractors and TVGS to treat oil-containing water by using oil-decomposing microorganisms (for example: Microbelift DGTT). Establish hazardous waste storage areas. It is strictly forbidden to use contractors pumping water containing waste oil into surface water sources.

